

**REMARKS**

Claims 1-35 are cancelled. Claims 36, 38-40, 42, 43, 46-50, 52, 53, and 56-58 are amended. Claims 60-73 are withdrawn from consideration by the examiner.

Claims 36-57 and 59 stand rejected under 35 USC 103(a) as being unpatentable over Green et al (US 7,547,817) in view of Qui et al (Intl. Immunol. 1999; 11: 37-46).

Claim 58 stands rejected under 35 USC 103(c) as being unpatentable over Green et al in view of Qui et al and further in view of GenBank AC073553 (September 2002).

Claims 36 and 52 stand rejected under 35 USC 103(a) as being unpatentable over Green et al in view of Qui et al and further in view of Harriman et al.

Each of these rejections are addressed and traversed in the following remarks.

*Background of the invention*

The IgH locus structure and the mechanism of class switch recombination are well-known in the art (see *Immunology, Ivan Roitt et al., Third Edition, 1993, Mosby, pages 5.9 to 5.11 and 11.9 to 11.12; Annex I*). B cell maturation requires the expression of membrane IgM on B cells surface. The production of a selected antibody isotype requires the development of IgM producing B cells which subsequently undergo isotype class switching from IgM to the IgG or IgA, or IgE isoatypes. Isotype class switch is mediated through a deletional recombination event (class switch recombination or CSR) occurring between tandem directly repetitive switch regions (S) present 5' of all IgH constant region genes except C $\delta$ . Transcription starting from an I exon located immediately upstream of the switch region and continuing through the switch region is required for CSR. Enhancers and cytokine response sequences are known to lie in the region near the I promoter.

*Brief description of the claimed invention*

The claimed invention relates to a transgenic knock-in mammal, wherein the endogenous immunoglobulin heavy chain locus (IgH) has been modified by homologous recombination to replace the switch sequence S $\mu$  with a transgene containing a human heavy chain constant region gene C $\alpha$  or a segment of the C $\alpha$  gene containing at least an exon encoding the CH3 domain and a membrane exon.

Figure 1 of the present specification illustrates the endogenous IgH locus in mice, the targeting vector which can be used for homologous recombination and the murine locus after homologous recombination.

The deletion of the switch sequence S $\mu$  in the endogenous IgH locus and its replacement with the human C $\alpha$  transgene produces the following effects on the immunoglobulin heavy chain genes expression:

- (i) a chimeric human IgA heavy chain in which the constant region is human and the variable region is from the non-human mammal is expressed to high level; this chimeric IgA heavy chain benefits from a completely diversified repertoire corresponding to the normal repertoire generated by rearrangements of the VH, D and JH segments at the non-human mammal IgH locus,
- (ii) the expression of the endogenous C $\mu$  gene responsible for the synthesis of IgM heavy chains is abolished, and
- (iii) the expression of the other immunoglobulin heavy chains genes which code for the other classes of immunoglobulins (IgG and IgE) is greatly reduced due to the blocking of the Ig class switch toward the immunoglobulin constant genes located downstream of C $\mu$  on the endogenous IgH locus.

These specific characteristics of the non-human transgenic mammal of the claimed invention are demonstrated in the examples. For example, Example 1 demonstrates that the non-human transgenic mammal of the claimed invention produces antibodies which contain large quantities of chimeric human IgAs (in the gram per litre range in mice) but no IgM. Example 3 demonstrates that the transgenic mammal is capable of producing

antibodies with high affinity as a secondary response to the antigen since its B lymphocytes can recruit the somatic hypermutation phenomenon.

The brief description of the claimed invention above is intended solely for purposes of clarification and is not intended to limit either the scope of any pending claims.

Green et al. (US 7,547,817)

Green describes human antibodies producing transgenic mammals (Xenomouse<sup>TM</sup>) which are obtained by introducing yeast artificial chromosome cloning vectors containing large germline fragments (unrearranged) of the human Ig locus (human Ig YAC transgene), into the somatic and germline cells of a mammal (column 2, line 31 to column 3, line 8 and column 4, lines 4-14).

Green describes that current technologies for obtaining a transgenic mouse which produces an antibody of the desired isotype requires antibody re-engineering *in vitro* which is labor intensive, slow and expensive (column 4, line 60 to column 5, line 36).

Green is thus directed to solving the specific problem of obtaining a pre-selected human antibody isotype from a transgenic mouse (column 5, lines 53-55).

Green describes that due to the differential responsiveness of mouse and human switch regions to lymphokines and other activators it is desirable to have heterologous switch regions controlling CSR in human antibody producing mice (column 12, lines 29-33).

Green discloses a transgenic mammal containing in its somatic and germline cells an unrearranged human immunoglobulin heavy chain (IgH) YAC transgene containing VH genes, all the D elements, all J elements, S $\mu$ , C $\mu$  and C $\delta$  from human chromosome 14, and a chimeric human IgH constant region gene in which human constant region gene sequences encoding the desired heavy chain isotype are operably linked to an

heterologous or non-cognate switch region (column 5, line 66 to column 6, line 3; column 6, lines 21 to 36). For example, a mouse switch region is operably linked to a human gamma, alpha or epsilon constant region coding segment, or a human switch region is operably linked to a human constant region segment, the switch region being from a different isotype than the constant region coding segment (column 6, lines 40-45 and 51-55). The heterologous switch region controls switching from the human IgM to the downstream human Ig of the desired isotype. The transgenic mammal of Green is engineered to produce human IgM and human Ig of the desired isotype (column 5, line 66 to column 6, line 3).

A first transgenic mouse line producing human IgM and IgG2 antibodies (Xenomouse) was previously derived by Green, from the yH1C transgene composed of 66 VH genes, all the D elements, all J elements, C $\mu$  and C $\delta$  and all regulatory elements in germline configuration, appended in 3' with a 22 kb fragment containing the human C $\gamma$ 2 gene, including its switch region (S $\gamma$ 2), and a 4 kb fragment containing the mouse 3' enhancer element (column 9, line 58 to column 10, line 42).

The human IgH YAC transgenes disclosed in Green are engineered by introducing a targeting vector having 5' and 3' flanking homology to yH1C and an appropriate selection marker, into yeast carrying yH1C. Such vector can be recombined *in vivo* in yeast to replace the human S $\gamma$ 2 and C $\gamma$ 2 with the mouse S $\gamma$ 1 functionally linked to a human CH coding sequence (*e.g.* human or the human C $\gamma$ 1) or the human C $\gamma$ 2 by another human CH gene, in yeast carrying yH1C (column 12, line 36 to column 13, line 9 and figures 1 to 7). To produce an antibody of the desired isotype, new transgenic Xenomouse are then generated by introducing the recombinants IgH YAC transgenes into mouse ES cells.

- Harriman et al., J. Clin. Invest., 1996, 97, 477-485 and Qiu et al., Int. Immunol., 1999, 11, 37-46

These two research papers from Harriman are directed to understanding the process of antibody class switching and their implication in IgA deficiency (abstract and introduction of Harriman *et al.*). For this purpose, an I $\alpha$  knock-out mouse was generated by targeted deletion (Figure 1 of Harriman *et al.*) and used in Qiu *et al.* (figure 1 and page 38, 2<sup>nd</sup> column, beginning of second paragraph which refers to Harriman). In this I $\alpha$  knock-out mouse, a human HPRT mini-gene driven by the PGK promoter and having a SV40 poly(A) signal replaces the I $\alpha$  exon and proximal promoter located upstream of the switch region S $\alpha$ , in the endogenous IgH constant region gene C $\alpha$ . The knock-out mouse is generated by targeted deletion. Therefore, all the sequences 5' and 3' to the I $\alpha$  exon which include S $\mu$  and C $\mu$  in 5' and S $\alpha$  in 3', are present in the knock-out mouse IgH locus. Harriman *et al.* teaches that the I $\alpha$  knock-out mouse produces mouse IgM, IgG and IgA at levels equivalent to those of the wild-type (page 480, 2<sup>nd</sup> column, 2<sup>nd</sup> paragraph and page 481, 1<sup>st</sup> column, end of first paragraph). Harriman *et al.* teaches also that the I $\alpha$  exon or transcripts containing the I $\alpha$  exon are not required for IgA class switch but a second signal is required for the induction of IgA class switch (abstract). Qui *et al.* discloses that a transcript of any sequence which is spliced across the switch region is necessary and maybe sufficient for CSR.

GenBank AC073553.5

GenBank AC073553.5 discloses the sequence of a 187523 bp DNA segment from mouse chromosome 12.

*Non-obviousness of claims 36-57, 59 over Green et al. in view of Qiu et al.*

The rejection of claims 36-57 and 59 under 35 USC 103(a) over Green *et al.* (US 7,547,817) in view of Qiu *et al.* (Int. Immunol., 1999, 11, 37-46) is respectfully traversed as the cited references do not disclose all the elements of the claimed invention, suggest the combination of elements of the claimed invention, or provide a reasonable expectation of success for the claimed invention.

Green was cited as differing from the present claims in that although Green may provide transgenic mice for producing any desired isotypes of human antibodies including IgA, the exemplified target IgH gene is not C $\alpha$  (page 6 of the present Office Action).

However this is respectfully untrue. It is worth noting that the Examiner herself acknowledges that it is not true since it is noted on page 8 of the present Office Action that "*the combined teaching of Green in view of Qiu teaches a transgenic mouse with a non-cognative S region in its genome but not lacking a S $\mu$* ".

Green differs from the present claims in that Green, at the very least, does not disclose or suggest any of the following features from the claimed invention:

1. Green does not disclose a knock-in mammal generated by homologous recombination at the mammal endogenous IgH locus but a transgenic mammal (Xenomouse<sup>TM</sup>) generated by random insertion of large germline fragments of the human IgH locus, into the somatic and germline cells of a mouse using YAC vectors (Column 5, Line 66 to Column 6, Line 3, Column 6, Lines 36-37; Column 7, lines 44-48; Column 9, Line 58 to Column 10, Line 42).

Therefore, contrary to what is stated by the Examiner on page 6 of the present Office Action, Green does not disclose a targeting vector comprising 5' and 3' mouse flanking sequences for homologous recombination, which is introduced into mouse ES cells.

2. Green does not disclose targeted DNA sequence replacement at the mouse endogenous IgH locus. Green discloses targeted DNA sequence replacement in yeast, on a human IgH YAC transgene comprising unarranged variable region genes (V, D, J genes) S $\mu$ , C $\mu$ , C $\delta$ , S $\gamma$ 2, C $\gamma$ 2, and a 4 kb fragment containing the mouse 3' enhancer element (column 12, line 36 to column 13, line 9, cited by the Examiner on page 5 of the present Office action, and figures 1 to 7).

3. Green does not disclose targeted DNA sequence replacement, wherein the endogenous switch sequence S $\mu$  is replaced with a transgene construct comprising a human heavy chain constant region gene C $\alpha$  or a segment of the C $\alpha$  gene comprising at least an exon encoding the CH3 domain and a membrane exon.

Green discloses targeted DNA sequence replacement, wherein: (i) the human S $\gamma$ 2 switch sequence and the human C $\gamma$ 2 coding sequence are replaced with the mouse S $\gamma$ 1 switch sequence functionally linked to a human CH coding sequence (e.g. human or the human C $\gamma$ 1), or (ii) the human C $\gamma$ 2 coding sequence is replaced by another human CH gene (column 6, lines 40-45 and 51-55; column 12, line 36 to column 13, line 9 (cited by the Examiner on page 5 of the present Office action) and figures 1 to 7).

Therefore, contrary to what is stated by the Examiner on page 5 of the Office action, Green does not disclose the deletion of the mouse S $\mu$  since Green discloses targeted replacement within a human immunoglobulin heavy chain (IgH) transgene. In addition, the targeted replacement disclosed by Green does not contain the replacement of S $\mu$  but of C $\gamma$ 2 alone or together with its switch region S $\gamma$ 2.

4. Green does not disclose a human heavy chain constant region (CH) transgene which is not linked to a switch region. Green discloses a human CH gene which is always functionally linked to an heterologous switch region (column 6, Lines 29 to 32 and column 12 cited by the Examiner on page 5 of the present Office Action).
5. Green does not disclose the insertion of a human CH transgene between the intronic enhancer E $\mu$  and the C $\mu$  gene (figure 1 of the present application) but downstream of the C $\mu$  gene (figures 1 to 4 of Green).
6. Green does not disclose a modified IgH locus that is incapable of isotype switching from IgM to the isotype of the downstream human CH transgene. Green discloses a human IgH transgene which is always functionally linked to an heterologous switch

region and capable of isotype switching from the human IgM to the isotype of the downstream human CH gene (column 6, lines 26 to 29 and column 12 cited by the Examiner on page 5 of the present Office Action).

7. Green does not disclose a transgenic mammal which produces no IgM and high level of chimeric human IgAs in which the heavy chains comprise a human immunoglobulin A constant region and a variable region from the non-human mammal. Green discloses a transgenic mammal which produces human IgM and human Ig of the desired isotype.

Qiu was cited as disclosing, on figure 1, a transgenic mouse whose endogenous switch region and C $\alpha$  region was replaced with a human S $\alpha$  and C $\alpha$  (page 6 of the present Office Action). However this is respectfully untrue. As mentioned above in paragraph 3.2.3, Qiu discloses an I $\alpha$  knock-out mouse. In this mouse, the endogenous switch region and C $\alpha$  region are not modified and there is no human S $\alpha$  and C $\alpha$  insertion (figure 1 of Qiu or Harriman which both refer to the same mouse).

One of ordinary skill in the art would have no reason to combine Green with Qiu because Qiu does not disclose or suggest any element of the claimed invention which is missing from Green. Qiu discloses an I $\alpha$  knock-out mouse that produces mouse IgM, IgG and IgA at levels comparable to the wild-type, as discussed above.

Even, if the ordinary skilled artisan were to combine the disclosure of Green with that of Qiu, the ordinary artisan would modify the mouse described by Green by linking the heterologous switch region functionally to an HPRT minigene as taught by Qiu to arrive at a transgenic mammal containing an unrearranged human immunoglobulin heavy chain (IgH) transgene containing VH genes, all the D elements, all J elements, S $\mu$ , C $\mu$  and C $\delta$  from human chromosome 14, and a chimeric human IgH constant region gene in which human constant region gene sequences encoding the desired heavy chain isotype are operably linked to an heterologous switch region which is operably linked to an

HPRT minigene, *i.e.* a transgenic mammal which is even more different from the claimed transgenic mammal than the transgenic mammal of Green.

Furthermore, the prior art and the common knowledge at the time of filing of the present application (*Annex I*), in fact, teach away from the present invention. It is known in the art that B cell maturation, *in vivo*, requires the expression of membrane IgM on B cells surface and that the production of a selected antibody isotype, *in vivo*, requires the development of IgM producing B cells which subsequently undergo isotype class switching from IgM to the IgG or IgA, or IgE isoatypes (column 10, lines 43 to 60 of Green and *Annex I*). As discussed above, the mice which are disclosed in Green, Qiu and Harriman (which refer to the same knock-out mouse as Qiu) have IgM producing B cells which subsequently undergo isotype class switching from IgM to the IgG or IgA, or IgE isotype. Therefore, to produce human Ig of the desired isotype (IgG, IgA,) one of ordinary skill in the art would never have engineered mice which do not produce IgM but only Ig of another isotype (IgG, IgA). In accordance with the claimed invention, the present inventors have demonstrated that, in the absence of IgM expression, mammals can surprisingly develop functional B cells able to generate an immune response.

Therefore, even the combined disclosures of the cited references would fail to disclose all the elements of the claimed invention, or suggest this combination or provide a reasonable expectation of success for the present invention because numerous elements from the claimed invention are missing from these reference disclosures. In fact, these references actually teach away from the present invention.

Hence, this ground of rejection is unsustainable and should be withdrawn.

*Non-obviousness of claim 58 over Green et al. in view of Qiu et al. and GenBank AC073553.5*

Claim 58 stands rejected under 35 USC 103(a) as being unpatentable over Green *et al.* (US 7,547,817), in view of Qiu *et al.* (Int. Immunol., 1999, 11, 37-46) as applied to claims 36-57, 59, and further in view of GenBank AC073553.5.

This rejection is not sustainable over the combination of Green and Qiu for the reasons discussed above. However, GenBankAC073553.5 does not remedy the deficiencies of Green and Qui.

GenBank AC073553.5 discloses the sequence of a 187523 bp DNA segment from mouse chromosome 12.

However, GenBank AC073553.5 does not disclose the specific fragments corresponding to SEQ ID NO: 7 and SEQ ID NO: 8 of the invention, nor the combination of said specific fragments with a human C $\alpha$  gene or a fragment of said gene comprising the CH3 domain and membrane exons. Furthermore, there is no suggestion of such a combination, nor does this reference provide a reasonable expectation of success the same because the conventional knowledge at the time of filing of the present application actually teaches away from the claimed invention for the reasons discussed above.

Accordingly, this rejection is unsustainable and should be withdrawn.

*Non-obviousness of claims 36 and 52 over Green et al. in view of Qiu et al. and Harriman et al.*

Claims 36 and 52 stand rejected under 35 USC 103(a) as being unpatentable over Green *et al.* (US 7,547,817), in view of Qiu *et al.* (Int. Immunol., 1999, 11, 37-46) as applied to claims 36-57, 59, and further in view of Harriman *et al.* (J. Clin. Invest., 1996, 97, 477-485).

Harriman was cited as establishing that it was known in the art that the S $\mu$  region is not required for IgA class switch, and that a mouse without the genomic S $\mu$  region still can produce IgA antibody.

However this is not true for the reasons discussed above. Harriman and Qiu disclose the same I $\alpha$  knock-out mouse. In this knock-out mouse, generated by targeted deletion, the only region of the endogenous IgH locus which is deleted is the I $\alpha$  exon (figure 1 of Harriman). Therefore, all the sequences 5' and 3' to the I $\alpha$  exon which include S $\mu$  and S $\gamma$  in 5' and S $\alpha$  in 3' are present in the I $\alpha$  knock-out mouse IgH locus. Harriman teaches that the I $\alpha$  knock-out mouse produces IgM, IgG and IgA and that the IgA are produced by class switching, *i.e.*, by recombination between the S $\mu$  and S $\alpha$ , or S $\gamma$  and S $\alpha$  regions.

Therefore, Harriman does not disclose or suggest that the S $\mu$  region is not required for IgA class switch, and that a mouse without the genomic S $\mu$  region still can produce IgA antibody.

Importantly, Harriman fails to disclose all of the elements missing from the two primary references, or suggest this combination or provide any reasonable expectation of success for the present invention. In fact, the combined disclosures of the cited references would teach away from the claimed invention for the reasons noted above.

Hence, this ground of rejection is unsustainable and should be withdrawn.

Claims 36-51 and 59 stand rejected under 35 USC 112, first paragraph.

However, the rejection of claims 36-51 and 59 under 35 USC 112, first paragraph, as failing to comply with the written description requirement is respectfully traversed as the IgH locus sequences required for practicing the claimed non-human mammal are described in the specification in such a way as to reasonably convey to one skilled in the

relevant art that the inventors, at the time the application was filed, had possession of the claimed invention.

The practicing of the claimed invention does not require all the sequences of the IgH locus but only a very small portion of sequences (less than 10 kb) flanking the S $\mu$  region, the targeted site for homologous recombination (see figure 2).

These sequences which are necessary and sufficient for practicing the claimed invention are defined by specific features (size, well-known protein domains, *i.e.*, JH/E $\mu$  region, C $\mu$  gene) which are disclosed in the specification (see figure 2).

The specification provides also the positions of these sequences on mouse chromosome 12 sequence (Genbank/EMBL AC073553).

At the date of filing of the present application, the IgH gene locus had been mapped in different mammal species and its entire sequence or part of its sequence comprising the JH/E $\mu$  region and C $\mu$  gene sequences were available for numerous species, including at least human, mouse, rat, sheep, cattle, dog, cat, rabbit, hamster, shrew and pig (Schrenzel *et al.*, Immunogenetics, 1997, 45, page 386, 2<sup>nd</sup> column, end of last paragraph; *Annex II*). Examples of GenBank accession numbers are: AY158087 (bovine), M13800.1 (rat) and X02804.1 (hamster), shown in *Annexes III to V*.

Furthermore, using the mouse sequences that are described in the application, one skilled in the art would have been able to obtain the corresponding sequences from other mammals, using standard molecular biology and/or sequence analysis techniques which were routine in the art at the date of filing of the present application.

Therefore, this ground of rejection is clearly moot.

Claims 36-51 and 59 stand rejected under 35 USC 112, first paragraph.

However, the rejection of claims 36-51 and 59 under 35 USC 112, first paragraph, as failing to comply with the enablement requirement is respectfully traversed as the specification does enable any person skilled in the art to which it pertains, or with it is most nearly connected, to practice the invention commensurate in scope with these claims.

First of all the essential materials (IgH sequences) required for practicing the claimed invention are adequately described by the instant disclosure for the reasons mentioned above.

The specification describes how the claimed transgenic mammal can be obtained by homologous recombination with an appropriate targeting vector (see page 11, lines 4-11, page 11, line 31 to page 12, line 14) and provides a working example of the invention (transgenic mouse line of example 1).

The state of the art and the level of skill in the art is such that pronuclear microinjection of fertilized eggs and the use of *in vitro* embryo production in combination with gene transfer technology are available for the mammal species for which ES cells were not available (Mullins *et al.*, J. Clin. Investigation, 1996, 97, 1557-1560; in particular page 1557, second column, end of paragraph entitled “*Transgenesis by pronuclear injection*”).

The inefficiency of pronuclear microinjection due to random integration of the transgene is not a relevant problem for the present invention since the transgene is integrated by homologous recombination in a functional locus. Mullins *et al.* mentions clearly that targeted homologous recombination improves the inefficiency of pronuclear microinjection (see page 1558, first column, middle of first paragraph: “*In many cases, simply including large amounts of flanking sequences may be sufficient to overcome position effects and direct expression to specific tissues*”). Therefore, pronuclear microinjection is not an unpredictable technique in the case of the present invention

since it allows efficient integration of the transgene at the mammal IgH locus and subsequent expression of this transgene.

Furthermore, Mullins *et al.* point out that the use of *in vitro* embryo production in combination with gene transfer technology is a major improvement to pronuclear microinjection of fertilized eggs because transgene screening and cloning can take place before reintroduction into the natural host. Mullins et al. mentions that the microinjected embryo technology allows efficient production of transgenic cattle, rabbit and sheep.

Therefore, one skilled in the art with the teaching of the specification and the knowledge in the art would be able to practice the claimed transgenic mammal without undue experimentation.

Therefore, this ground of rejection is deemed to be moot.

Claims 36-51 stand rejected under 35 USC 112, second paragraph.

However, the rejection of claims 36-51 are believed to be obviated by the amendments which were made to claims 36, 46 and 48.

Therefore, withdrawal of the rejection is respectfully requested.

The specification stands objected to.

In view of above amendment to the specification, this ground of rejection is moot.

Finally, Applicants emphasize that even assuming for the sake of argument that one skilled in the art would have had motivation to combine the cited references of record, the artisan still would not have been put in possession of the claimed invention. Moreover, KSR v. Teleflex, 550 U.S. 398 (2007), is of no moment to the patentability of the claimed invention since in the present case one skilled in the art could not have

relied upon “common sense” to find implicit motivation to combine the cited references for at least three reasons.

First, even the combined reference disclosures lack the full measure of claimed genetic elements. That is, the combined teachings fall short of the claimed invention.

Second, the combined reference disclosures actually teach away from the claimed invention. Unlike in KSR, where the U.S. Supreme Court found nothing in the prior art that would teach away from the Asano reference (used to determine the obviousness of the claimed invention), on the present record there is cited prior art that teaches away from the claimed invention.

Third, the claimed invention does not constitute a known problem for which there is a known solution. In fact, to the contrary, the cited references strongly indicate that the presently claimed ‘solution’ was anything but known, and, thus patentable.

Guidelines for U.S. Patent Examiners are set forth at MPEP 2143, Eighth Edition (Revision 6, September, 2007). Notably, “Exemplary Rationales” A-G are set forth where an examiner might justifiably cite the KSR decision in support of an obviousness rejection. In fact, none of these rationales apply to the claims at issue. This is striking inasmuch as the KSR test for determining obviousness is clearly broader than the previously (and solely) used ‘teach, suggest, motivate’ (TSM) test. Yet, even an attempted application of the KSR test indicates patentability for the claimed invention based upon the prior art of record.

Annexes I-V are attached to this Amendment in general support of the patentability of the claimed invention. As such, they have not been cited in an Information Disclosure Statement in accordance with standard practice.

Accordingly, in view of all of the above amendments and related remarks, it is believed this application is now in condition for allowance. Favorable consideration and early notice to this effect are earnestly solicited.

Respectfully submitted,



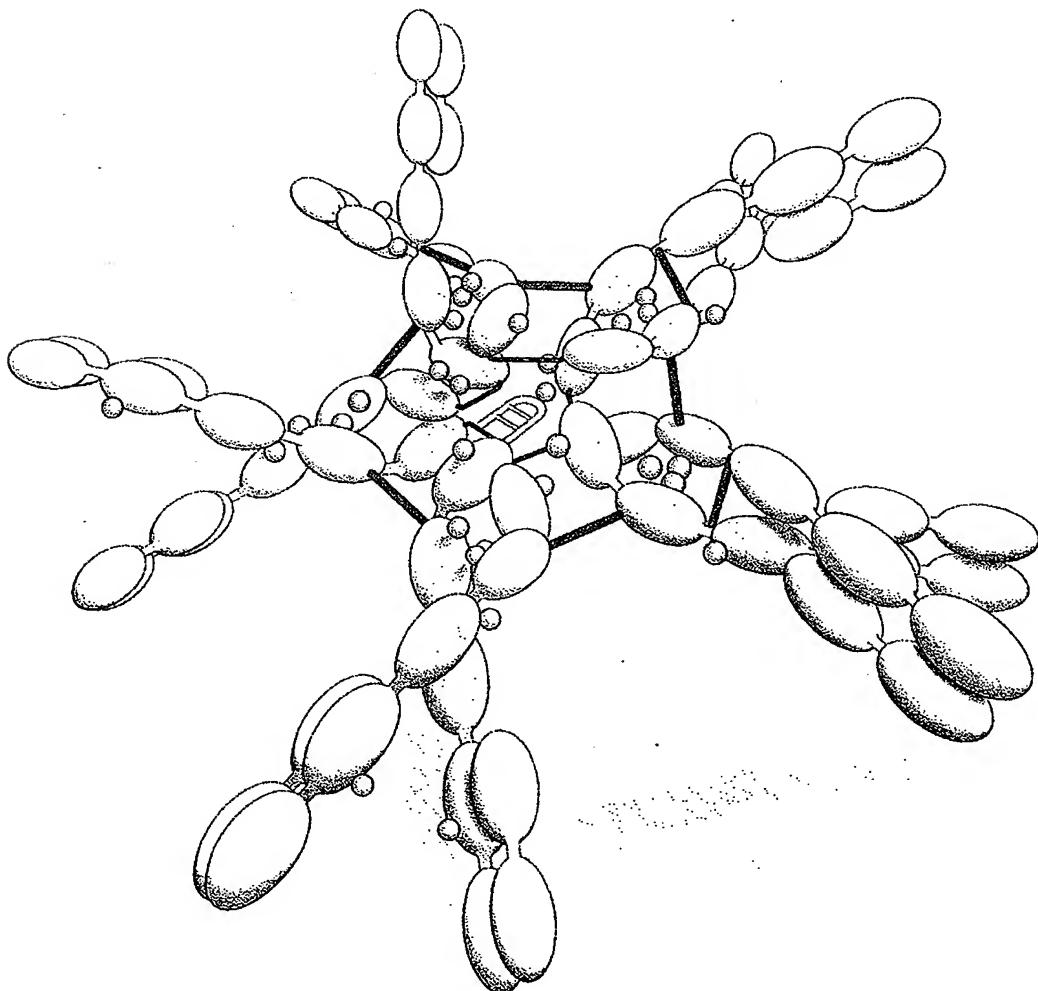
William E. Beaumont  
Reg. No. 30,996  
Juneau Partners, PLLC  
Customer No.: 50438

\* See Annexes I-V attached to this amendment

# IMMUNOLOGY

THIRD EDITION

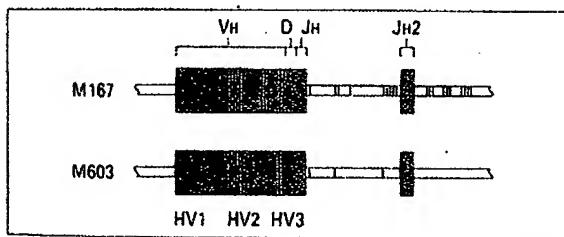
Ivan Roitt • Jonathan Brostoff • David Male



**Birds**

In contrast to sharks, chickens possess extremely limited numbers of genes coding for immunoglobulins. In the light chain system there is only one V, one J and one C segment gene. The heavy chains are similarly restricted with single V and J segments. Although there are about 15 DH segments, these are all very similar in sequence and add little to diversity. Despite this severe limitation chickens are perfectly able to mount a wide range of antibody responses and produce sequentially diverse antibody molecules.

Upstream of the  $V_L$  gene is a region containing 25 sequences similar to  $V_L$  regions, but each lacking a leader exon and a promoter region. They also lack the characteristic heptamer-spacer-nonamer sequences needed for V-J rearrangement. These pseudogenes are not wasted but are used in a process of gene conversion, with sections of the pseudogene being inserted into the viable  $V_L$  region. This is a continuous process which carries on after the B cells have left the bursa and multiple conversion events can occur during the lifetime of the B cell.

**Mutations in the DNA of two  $V_H$  T15 genes**

**Fig. 5.16** DNA of two anti-phosphorylcholine antibodies with the T15 idiotype. (Black lines indicate positions where the genome has mutated from the germ-line sequence.) There are large numbers of mutations in the introns and the exons of both genes, but particularly in the second hypervariable region, HV2. By comparison, no mutations are detectable in genes coding for the constant regions.

**Five mechanisms for the generation of antibody diversity**

1. multiple germ line V genes
2. V-J and V-D-J recombinations
3. recombinational inaccuracies
4. somatic point mutation
5. assorted heavy and light chains

**Fig. 5.17** Since each mechanism can occur with any of the others, the potential for increased diversity multiplies at each step of immunoglobulin production.

Similar processes occur with the heavy-chain gene locus, where up to a 100  $V_H$  pseudogenes act to increase the diversity by similar conversion mechanisms.

**Rabbits**

Rabbit immunoglobulins have always presented a puzzle, particularly in the way in which allotypes are regulated. Although the rabbit has many  $V_H$  genes, the  $V_H$  gene nearest to the D segment is used in most rabbit B cells. Recent evidence suggests that the rabbit may also use a gene conversion mechanism to diversify this single  $V_H$  gene.

**PSEUDOGENES IN HUMAN DIVERSIFICATION**

Several V and J segment genes are also in the form of pseudogenes. Whether gene conversion is involved in generating human V regions is a matter of speculation and interest.

**HEAVY CHAIN CONSTANT REGION GENES**

All classes of immunoglobulin use the same set of variable region genes. When the class is changed, by a B cell that has matured into an antibody-forming cell and is therefore committed to a particular antigen, all that is switched is the constant region of the heavy chain. This is also shown by the analysis of double myelomas, where two monoclonal antibodies are present in the serum at the same time. IgM and IgG antibodies from a patient with multiple myeloma have been found to have identical light chains and  $V_H$  regions; only the constant regions were switched from  $\mu$  to  $\gamma$ . Often IgM and IgD are found on the lymphocyte surface at the same time. Capping these receptors with antigen has revealed that the IgM and IgD from the same B cell have the same specificity for antigen, indicating similarity of  $V_H$  regions on the two classes (Fig. 5.18).

All the constant region genes are arranged downstream from the J segment genes. In the mouse there is one gene for each of the  $\mu$ ,  $\epsilon$  and  $\alpha$  isotypes and one  $\gamma$  gene for each of the four different IgG isotypes (Fig. 5.19). In man the constant region genes are more complicated, and it appears that one section of this region has undergone gene duplication and diversification. In man the ordering of genes is  $\mu$ ,  $\delta$ , [ $\gamma_3$ ,  $\gamma_1$ ,  $\epsilon$  1  $\alpha$  1],  $\gamma$ , [ $\gamma_2$ ,  $\gamma_4$ ,  $\epsilon$ ,  $\alpha$  2]. The two sets within square brackets indicate the possible area of reduplication. The genes  $\epsilon$  1 and  $\gamma$  are pseudogenes and are not expressed. Just upstream (5') to the  $\mu$  genes is a switch sequence (S) which is repeated upstream (5') to each of the other constant region genes (Fig. 5.20). Class switching is important in the maturation of the immune response and may be accompanied or preceded by somatic mutation. Initially a complete section of DNA, including the recombined  $V_H$  region through the  $\delta$  and  $\mu$  constant regions, is transcribed; then by differential splicing, two mRNA molecules are produced, each with the same  $V_H$  but having either  $\mu$  or  $\delta$  constant regions. It is suggested that sometimes much larger stretches of DNA are also

### Co-capping of IgM and IgD with antigen

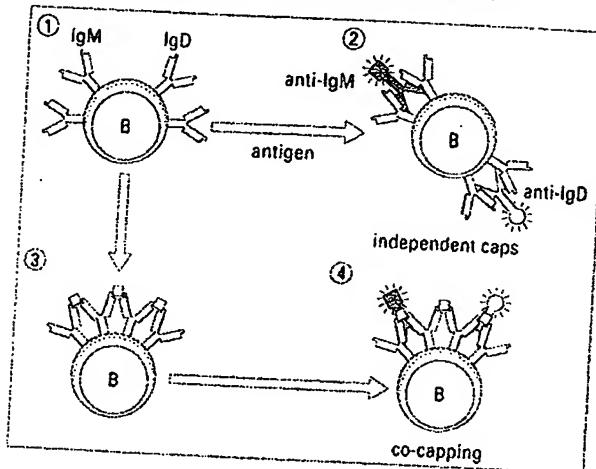
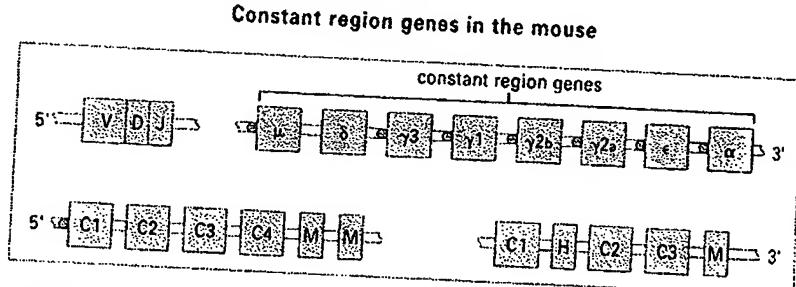


Fig. 5.18 Some B cells have both IgM and IgD on their surface (1). This can be demonstrated by treating the cells with rhodaminated anti-IgM (red) and fluoresceinated anti-IgD (green). The anti-IgM causes the IgM antibodies to aggregate on the surface, while the anti-IgD causes the IgD to aggregate, producing separate red and green caps on the cell (2). If the experiment is repeated by first treating the cells with antigen (blue) as in (3) and then with the anti-IgM and anti-IgD, the red anti-IgM and green anti-IgD caps appear together on the cell, that is, they co-cap. This implies that the IgM and IgD on the cell surfaces are cross-linked by antigen (4). This could only occur if the IgM and IgD had the same antigen-binding specificity, and is therefore evidence that different constant regions ( $\mu$  and  $\delta$ ) can be linked to the same V region.

Fig. 5.19 The constant region genes of the mouse are arranged 6.5 kb downstream from the recombinant V-D-J segment. Each C gene (except  $C\delta$ ) has one or more switching sequences at its start (red circles) which correspond to a sequence at the 5' end of the  $\mu$  gene. This allows any of the C genes to recombine with V-D-J.  $\delta$  genes appear to use the same switching sequences as  $\mu$  but the  $\mu$  gene transcript is lost in RNA processing to produce IgD. The C genes (expanded below for  $\mu$  and  $\gamma 2a$ )



contain introns separating the exons for each domain (C1, C2, etc.). The  $\gamma$  genes also have a separate exon coding for

the hinge (H), and all the genes have one or more exons coding for membrane-bound immunoglobulin (M).

### Maturation of the immune response and class switching

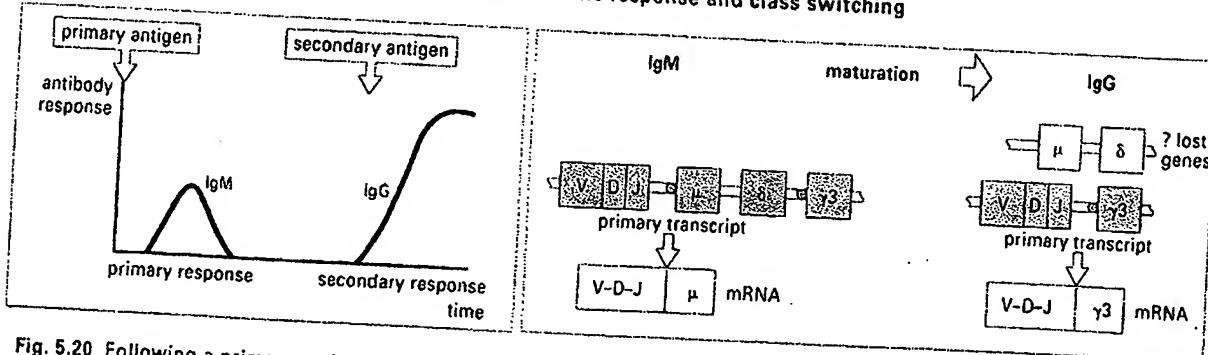
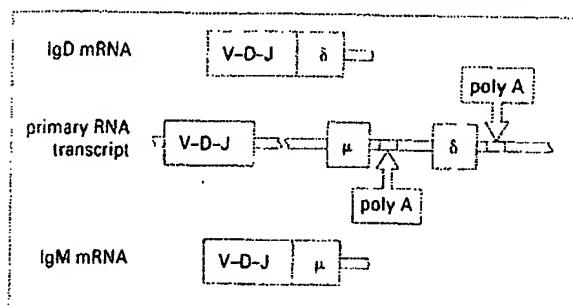


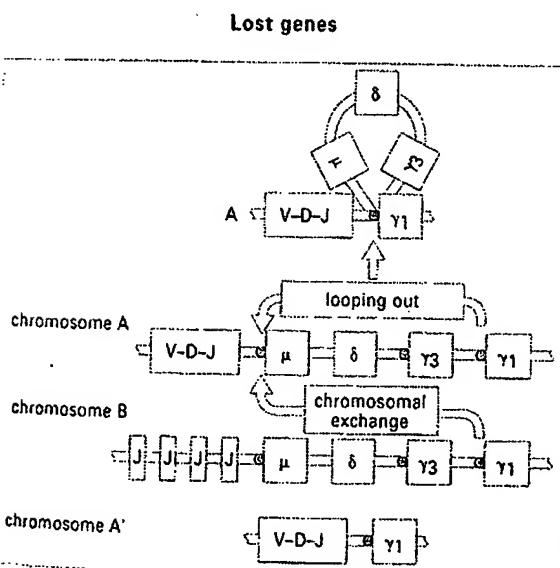
Fig. 5.20 Following a primary antigen injection there is an antibody response which consists mostly of IgM; the response following a secondary challenge is mostly IgG. The underlying mechanism for the class switch is shown (right). In the primary response the V-D-J region is transcribed with a  $\mu$  gene. After removal of introns during processing,

mRNA for secreted IgM is produced. During maturation (involving T-cell help and possibly activation of a mutation mechanism for the V-D-J segment) another C gene ( $\gamma 3$  in this case) is brought up to exchange with the  $\mu$  gene at its switch region (red). The  $\mu$  and  $\delta$  genes are probably lost; transcription and processing produce mRNA for IgG3.

### Isotype switching by differential RNA splicing



**Fig. 5.21** Single B cells produce more than one antibody isotype from a single long primary RNA transcript. A transcript containing  $\mu$  and  $\delta$  is shown here. Polyadenylation can occur at different sites (black), leading to different forms of splicing, producing mRNA for IgD (top) or IgM (bottom). Even within this region there are additional polyadenylation sites which determine whether membrane immunoglobulin or secreted immunoglobulin is formed.



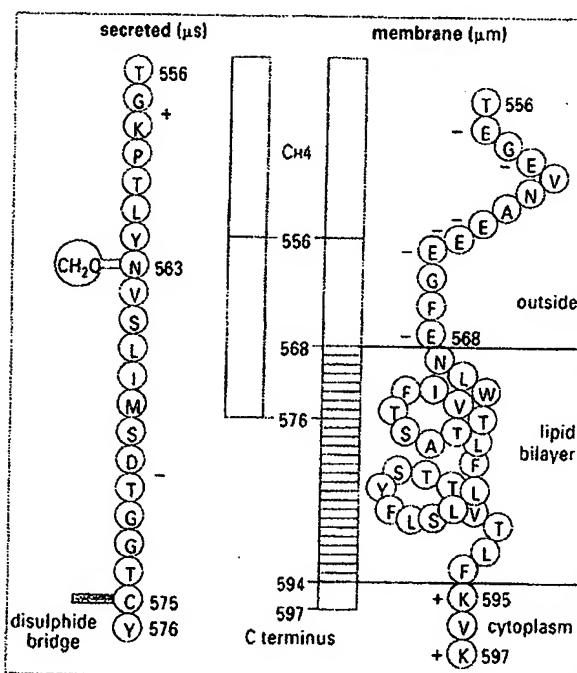
**Fig. 5.22** A and B are chromatids of the chromosome section of the Ig genes. A contains the rearranged V-D-J segment. In the looping out hypothesis, a section of C genes ( $\mu$ ,  $\delta$ ,  $\gamma_3$ ) loop out and are lost. In the chromosomal exchange hypothesis, similarities in the switching sequences permit unequal somatic recombination between maternal and paternal chromosomes. The A chromosome recombines with another part of the unrearranged B chromosome. The loss of gene segments gives rise to the IgM-IgG1 switch, shown as A'. The 'lost' C genes are on the other, non-functional chromosome B' (not shown), which now contains two copies of several C genes.

transcribed together, with differential splicing giving other immunoglobulin classes sharing  $VH$  regions (Fig. 5.21). This has been observed in cells simultaneously producing IgM and IgE. More often, class switching appears to be mediated by a recombination between S recombination sites, allowing a looping out and deletion of DNA and bringing another C region close to the VDJ gene (Fig. 5.22). A further possibility has been suggested involving exchange between chromosomes.

### MEMBRANE AND SECRETED IMMUNOGLOBULIN

Membrane immunoglobulin (antigen receptor) is identical to secreted immunoglobulin (antibody), except for a stretch of amino acids at the C terminus of each heavy chain. Membrane immunoglobulins are larger than their

#### Membrane and secreted IgM: mouse



**Fig. 5.23** C-terminal amino acid sequences are shown for both secreted and membrane-bound IgM, and are identical up to residue 556. Secreted IgM has 20 further residues. Residue 563 (asparagine) has a carbohydrate unit attached to it while residue 575 is a cysteine involved in the formation of interchain disulphide bonds. Membrane IgM has 41 residues beyond 556. A stretch of 26 residues between 568 and 595 contains hydrophobic amino acids sandwiched between sequences containing charged residues. This hydrophobic portion may traverse the cell membrane as two turns of  $\alpha$  helix. A short, positively charged section lies inside the cytoplasm.

Such interactions induce the production of the cytokines IL-1, IL-3, IL-6 and GM-CSF which are required for T-cell maturation in the thymus. Thymocytes also express receptors for IL-2 and this cytokine, together with other molecules, promotes cell proliferation which mainly occurs in the subcapsular and deep cortex.

#### Negative selection in the periphery (peripheral tolerance)

Not all self-reactive T cells are eliminated during intrathymic development, probably due to the inability of all self-antigens to transit through the thymic tissues. The thymic epithelial barrier may also limit access to some circulatory antigens. Given the survival of some self-reacting T cells, a separate mechanism is required to prevent their attacking the body. Recent experiments with transgenic mice have suggested that peripheral inactivation of self-reactive T cells can have two causes:

1. down-regulation of TCR and CD8 (in cytotoxic cells) so that the cells are unable to interact with target autoantigens
2. anergy, due to the lack of crucial secondary activation signals provided by the target cells.

Peripheral tolerance is discussed in more detail in Chapter 10.

#### Extrathymic T cell development

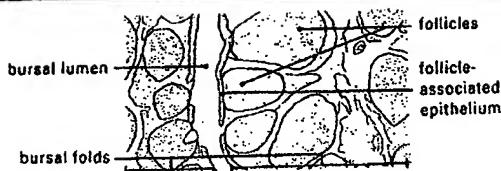
Although the vast majority of T cells require a functioning thymus for their differentiation, small numbers of cells (often oligoclonal in nature) carrying T cell markers have been found in athymic ('nude') mice. The possibility that there are thymic remnants cannot, however, be ruled out. There is some experimental evidence suggesting that bone marrow precursors can home to mucosal epithelia and then mature to functional TCR-1 T cells, without the need for a thymus.

#### B CELLS

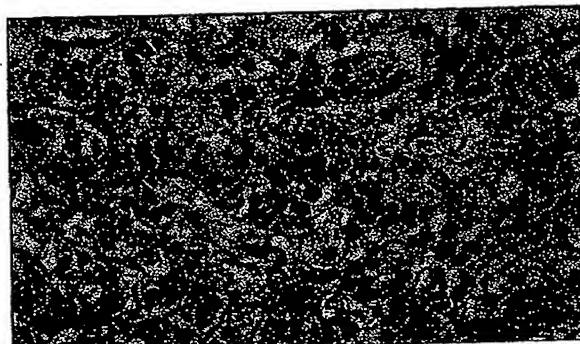
In the chicken, primary B-cell lymphopoiesis occurs in a discrete lympho-epithelial organ, the bursa of Fabricius. The bursal rudiment develops as an outpushing of the hindgut endoderm and becomes seeded with blood-borne stem cells. Studies on chicken/quail chimeras have indicated that there is a window for the immigration of stem cells into the bursa between days 10 and 14 of embryonic life (see Chapter 14). Proninophytic cells – the putative stem cells – are seen in contact with epithelial cells. Bursal cell proliferation gives rise to the cortex and the medulla in each bursal follicle, which may be seeded by one or a few stem cells (Fig. 11.12).

Mammals do not have a specific discrete organ for B-cell lymphopoiesis. Instead, these cells develop directly from lymphoid stem cells in the haemopoietic tissue of the fetal liver (Fig. 11.13) from 8–9 weeks of gestation in humans, and by about 14 days in the mouse. Later the site of B-cell production moves from the liver to the bone marrow, where it is continued into adult life. This is also true of the other haemopoietic lineages, giving rise to erythrocytes, granulocytes, monocytes and platelets. Recent data have indicated

that B-cell progenitors are also present in the omental tissue of murine and human fetuses. Whether or not these B-cell progenitors precede those in the fetal liver remains to be established.



**Fig. 11.12** Section of a bursa showing B cells developing in follicles. Like the fetal liver, the bursa is a site of some granulocytopoiesis, not just a site for lymphocyte development. H&E stain,  $\times 50$ .



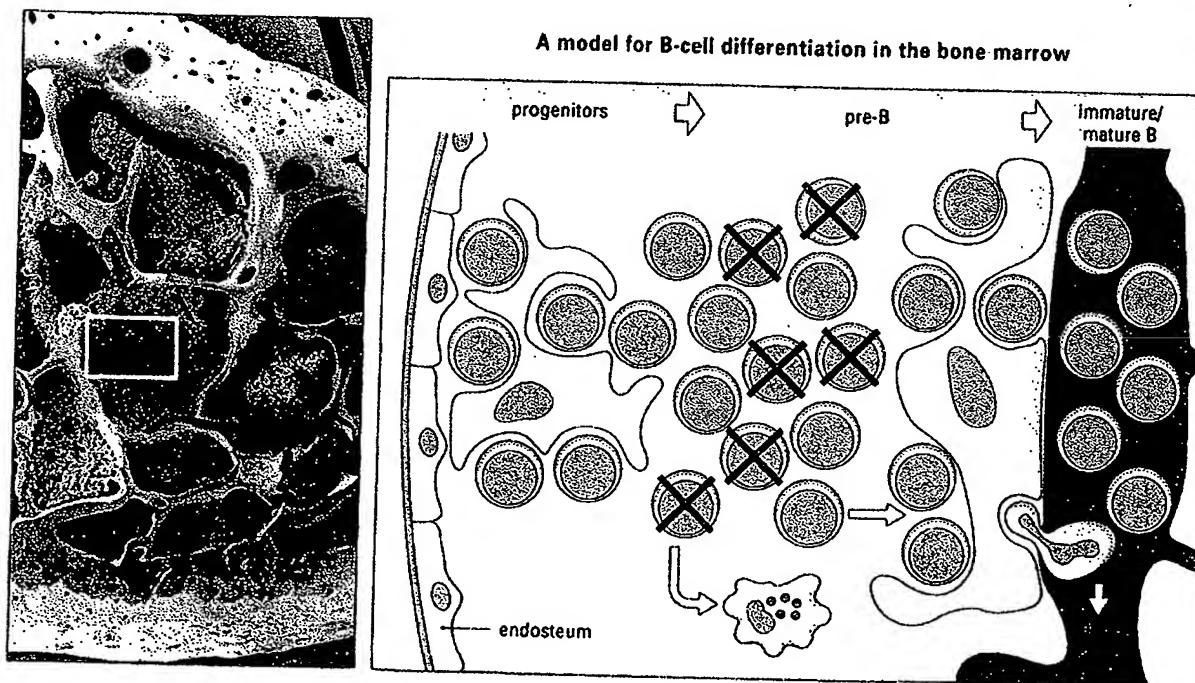
**Fig. 11.13** Section of human fetal liver showing islands of haemopoiesis. Haemopoietic stem cells (HSCs) give rise to islands of differentiating lineage-specific cells, including B cells.

B-cell production in the bone marrow does not occur in distinct domains. However, it has been shown that B-cell progenitors are adjacent to the endosteum of the bone lamellae. Each B-cell progenitor, at the stage of immunoglobulin gene rearrangement, may produce as many as 64 progeny. These migrate towards the centre of each cavity of the spongy bone and reach the lumen of a venous sinusoid. In the bone marrow, B cells mature in close association with stromal reticular cells. The latter are found both adjacent to the endosteum and in close association with the central sinus, where they are termed adventitial reticular cells (Fig. 11.14). Reticular cells have mixed phenotypic features with some similarities to fibroblasts, endothelial cells and smooth muscle cells. They produce type IV collagen, laminin and the smooth-muscle form of actin. Experiments *in vitro* have shown that reticular cells sustain B-cell differentiation. Adventitial reticular cells may be important for the release of mature B cells into the central sinus. The majority of B cells (over 75%) maturing in the bone marrow do not reach the circulation

but (as in the thymus) undergo a process of programmed cell death or apoptosis, and are phagocytosed by bone marrow macrophages. It has been suggested that B-cell-stromal interactions may mediate a form of positive selection that rescues a minority of B cells with productive rearrangement of their immunoglobulin genes from programmed cell death. Negative selection of autoreactive B cells may occur in the bone marrow or in the spleen, the site to which the majority of newly produced B cells are exported.

From kinetic data, it is estimated that about  $5 \times 10^7$  murine B cells are produced per day. Since the mouse spleen contains approximately  $7.5 \times 10^7$  B cells, a large proportion of B cells must die, probably at the pre-B-cell stage where they outnumber the B cells in the marrow by a factor of two.

The characteristic markers of the B-cell lineage are immunoglobulins, which act as cell-surface antigen receptors. Lymphoid stem cells (probably expressing terminal deoxynucleotidyl transferase, Tdt) proliferate and differentiate and then undergo immunoglobulin



**Fig. 11.14** Left: Low power scanning electron micrograph showing the architecture of bone and its relationship to bone marrow. A cavity has been picked out and is drawn schematically on the right. (Courtesy of Drs A. Stevens and J. Lowe). Right: Within the cavities of spongy bone, B cell lymphopoiesis takes place with maturation occurring in a radial direction towards the centre (from the endosteum to the central venous sinus). Immature progenitor cells

adjacent to the endosteal cell layer mature into pre-B cells, most of which die and are phagocytosed by bone marrow macrophages containing tingible bodies (stained by haematoxylin). Cells which survive mature further and reach the central venous sinus. Association with reticular cells, and the presence of cytokines such as IL-7 is essential for all steps of B cell maturation. (Adapted from Osmond D, Gallagher R. *Immunol Today* 1991;12:1-3.).

gene rearrangements (see Chapter 4). Following this, they emerge as pre-B cells which express  $\mu$  heavy chains in the cytoplasm. At least some of the pre-B cells express small amounts of surface  $\mu$  chains associated with pseudo light chains V<sub>preB</sub> and  $\lambda 5$ . Allelic exclusion of either maternal or paternal immunoglobulin genes has already occurred by this time. The proliferating pre-B cells are thought to give rise to smaller pre-B cells. On synthesis of light chains, which may be either of  $\kappa$  or  $\lambda$  type, but not both, the B cell is then committed to the antigen-binding specificity of its slgM antigen receptor. Thus one B cell can make only one specific antibody, a central tenet of the clonal selection theory for antibody production. A summary of B-cell differentiation, with expression of immunoglobulins and other relevant molecules, is shown in Fig. 11.15.

A sequence of immunoglobulin gene rearrangements and phenotypic changes takes place during B-cell ontogeny similar to that described for T cells (see above and Chapter 5). Heavy chain gene rearrangements occur in B-cell progenitors and represent the earli-

est indication of B-lineage commitment. This is followed by light chain gene rearrangements which occur at later pre-B-cell stages. Certain B-cell surface markers are expressed prior to immunoglobulin detection, namely class II MHC molecules, CD19, CD20, CD21 and the CD10 (CALLA) antigen. The latter marker is a highly conserved neutral endopeptidase which is transiently expressed on early B progenitors before the appearance of heavy  $\mu$  chains in the cytoplasm. CALLA is re-expressed later in the B-cell life history, following activation by antigen (see Fig. 11.15). Other markers such as CD23 and CD25 (IL-2 receptor  $\alpha$ ) are mostly found on activated B cells.

A number of growth and differentiation factors are required to drive the B cells through early stages of development. Receptors for these factors are expressed at various stages of B cell differentiation. IL-7, IL-3 and low molecular weight B-cell growth factor (L-BCGF) are important in initiating the process of B-cell differentiation whereas other factors are active in the later stages (see Fig. 11.16).

#### B cell differentiation

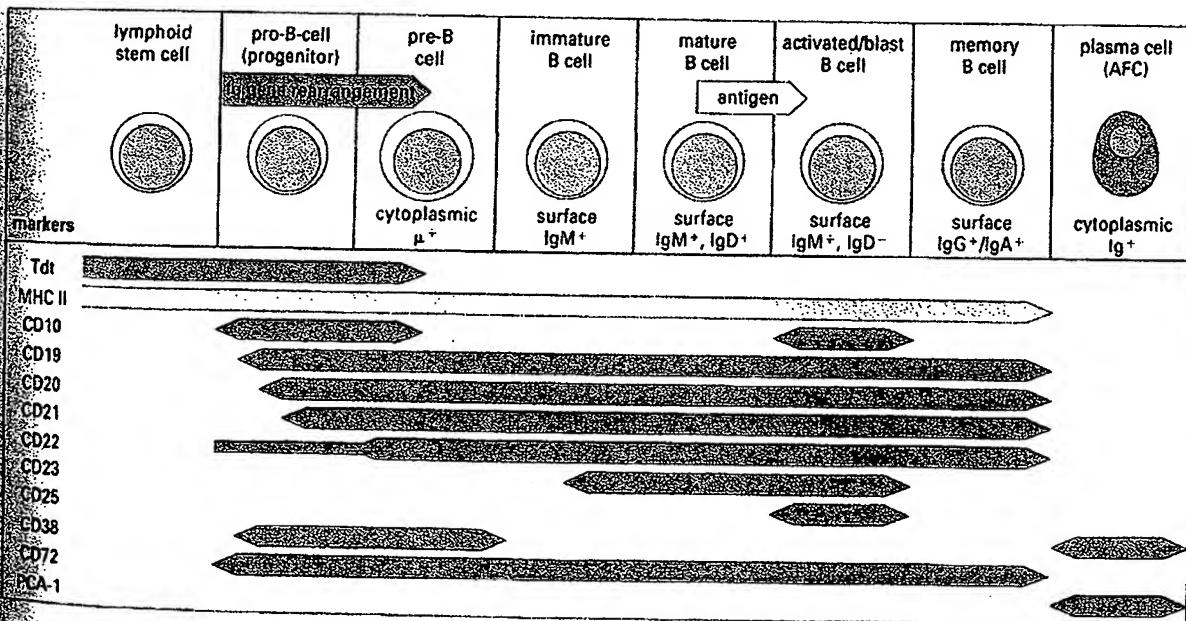


Fig. 11.15 B cells differentiate from lymphoid stem cells into virgin B cells and may then be driven by antigen to become memory cells or plasma cells. The cellular location of immunoglobulin is shown in yellow. The genes coding for antibody are rearranged in the course of progenitor cell development. Pre-B cells express cytoplasmic  $\mu$  chains only. The immature B cell has surface IgM, and the mature cell all other immunoglobulin isotypes. On antigen stimulation the B cell proliferates and develops into a

plasma cell or a memory cell following a phase of proliferation, activation and blast transformation. Memory cells and plasma cells are found at different sites in lymphoid tissue. Tdt is expressed very early in ontogeny. The diagram also shows the sequence of appearance of other important B cell surface markers. PCA-1 is found only on plasma cells. Note that CD38 is an example of a molecule found on early progenitors that is lost, only to reappear on the fully differentiated plasma cells.

Following their production in the fetal liver, B cells migrate and function in the secondary lymphoid tissue. Early immigrants into fetal lymph nodes (17 weeks in man) are  $\text{sigM}^+$  and carry a T-cell marker (CD5). CD5 $^+$  B cell precursors are found in the fetal omentum. Small numbers of CD5 $^+$  B cells are also found in the mantle zone of secondary follicles in adult lymph nodes.

Following antigenic stimulation, mature B cells can develop into memory cells or antibody-forming cells (APCs). Surface immunoglobulin (sig) is usually lost by the plasma cell (the terminally differentiated form of an AFC), since its function as a receptor is finished. Immature and mature B cells respond in different ways to antigens. Treatment with anti-IgM antibodies or antigen results in loss of  $\text{sigM}$  by capping and endocytosis in both mature and immature B cells. However, only mature B cells resynthesize  $\text{sigM}$  in culture (Fig. 11.17). Since immature B cells can be induced to lose their antigen receptor, this could be one mechanism by which self-reactive B cells are rendered tolerant during development.

### DIVERSITY OF ANTIBODY SPECIFICITY

There are tens of thousands of natural antigenic shapes. Since one B cell can make only one antigen-specific antibody, many B cells with different specific antibody receptors have to be generated from the stem cells.

The genes encoding the variable regions of antibody molecules are described in detail in Chapter 5. The variable region genes comprising V, D and J segments are present in every somatic cell in a germ-line configuration. During early development intervening sequences between D and J are deleted, bringing these genes closer together. Further rearrangements of the V, D and J segments of the variable region heavy chain genes (VH)

occur during the progenitor stage of B-cell development (see Fig. 11.15). The various combinations of these genes (one productive rearrangement per cell) are expressed with  $\mu$  heavy chain genes in the cytoplasm of the large pre-B cell. These actively proliferating pre-B cells then rearrange their  $V\kappa$  genes, and later their  $V\lambda$  genes, if the  $\kappa$  rearrangement has not been successful. When a light chain gene is productively rearranged the immature B cell expresses surface  $\mu$  chains with either  $\kappa$  or  $\lambda$  light chains. Those cells not making productive rearrangements probably die (perhaps by apoptosis). This is one explanation as to why so many pre-B cells die during development (see above). There is some evidence that pseudo-light-chain genes are expressed prior to  $\kappa$  and  $\lambda$  light chains, and that these may assemble small amounts of surface IgM on pre-B cells. This might be important in selection of early pre-B cells.

Once the  $\kappa$  or  $\lambda$  light chains are being produced, the surface IgM on the immature B cell can act as a functional antigen receptor. The rearrangements of the V, D and J segments (heavy chains) and V and J segments (light chains) are thought to be randomly generated within the B cells. However, there is evidence in mice, rats and chickens for a programmed sequence of development of specific antibody specificities (Fig. 11.18). Antibody production, as distinct from antigen recognition by B cells is, however, dependent on both T cells and APCs.

The reason for this programmed development of specificities at the molecular level within B cells is unclear, but it may reflect the biased utilization of V gene segments nearest to the D or J segments, with a 3' directional movement of the relevant recombinases and/or some negative selection of particular clones (possibly for self-reactivities). Many of the first B cells to appear in ontogeny express a predominantly T cell-

Cytokine receptor expression during B cell development

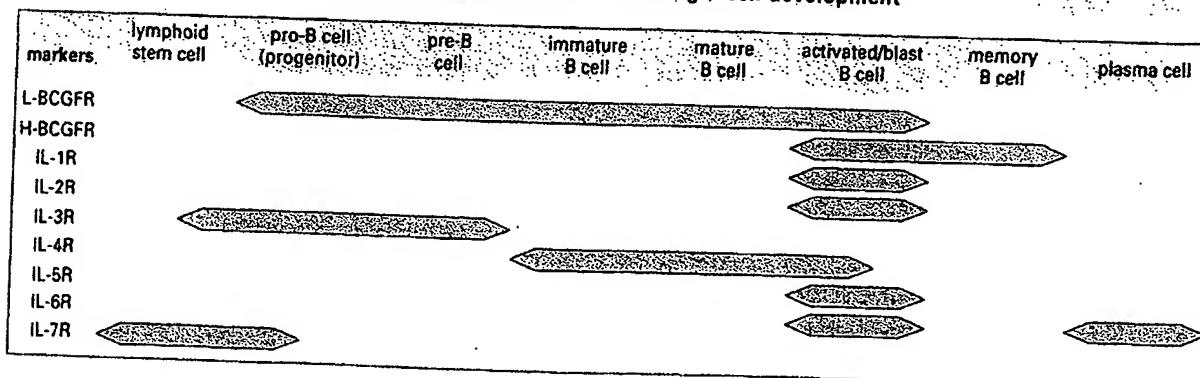


Fig. 11.16 The whole life history of B cells from stem cell to mature plasma cell is regulated by cytokines present in their environment. Receptors for these cytokines are

selectively expressed by B cells at different stages of development. IL-7 plays an important role in initiating events in B cell differentiation.

## ORIGINAL PAPER

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*Annex II*

## Characterization of horse (*Equis caballus*) immunoglobulin mu chain-encoding genes

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**Abstract** Horse (*Equis caballus*) immunoglobulin mu chain-encoding (*IgM*) variable, joining, and constant gene segments were cloned and characterized. Nucleotide sequence analyses of 15 cDNA clones from a mesenteric lymph node library identified 7 unique variable gene segments, 5 separate joining segments, and a single constant region. Based on comparison with human sequences, horse variable segments could be grouped into either family 1 of immunoglobulin (*Ig*) clan I or family 4 of *Ig* clan II subclan IV. All horse sequences had a relatively conserved 16 base pair (bp) segment in framework 3 which was recognized with high specificity in polymerase chain reaction by a degenerate oligonucleotide primer. Horse complementarity determining regions (CDR) had considerable variability in predicted amino acid content and length but also included the presence of relatively conserved residues and several canonical sequences that may be necessary in formation of the  $\beta$  chain main structure and conformation of antigen-binding sites through interaction with light chain CDR. Sequence analysis of joining regions revealed the presence of nearly invariant 3' regions similar to those found in human and mouse genes. A single horse *IgM* constant region comprising 1472 bp and encoding 451 residues was also identified. Direct comparison of the horse constant region predicted amino acid sequence with those from eleven other species revealed the presence of 53 invariant residues with particularly conserved sequences within the third and fourth exons. Phylogenetic analysis using a neighbor-joining algorithm showed closest similarity of

the horse mu chain-encoding constant region gene to human and dog sequences. Together, these findings provide insights into the comparative biology of *IgM* as well as data for additional detailed studies of the horse immune system and investigation of immune-related diseases.

### Introduction

Immunoglobulins (*Ig*) constitute the major functional protein of humoral immunity and are comprised of heavy and light chains, each containing variable and constant genes (Honjo 1983; Chothia and Lesk 1987; Tutter and Riblet 1989). *Ig* heavy chain variable domains are generated by the recombination of discontinuous germline variable (*Igh-V*), diversity (*Igh-D*), and joining (*Igh-J*) segments. Within the *Igh-V* molecule, differences in peptide sequences are clustered in three intervals, termed hypervariable or complementarity determining regions (CDR), which are separated by conserved framework regions (FW). The CDR comprise the antigen-binding portions of immunoglobulins, while the FW provide structural stability to allow proper association of light and heavy chain heterodimers (Kirkham et al. 1992). The heavy chain constant genes define the different *Ig* subclasses as well as aspects of their immunologic functions and have been named *IgM*, *IgD*, *IgG*, *IgA*, and *IgE*. Among these, the *IgM* molecule appears to be the most primitive, since it was the first to appear in vertebrate evolution (phylogeny) and is the first *Ig* expressed during ontogeny of the immune system (Bosch et al. 1992).

In humans and mice, *Igh-V*, *Igh-D*, *Igh-J* and *Igh-M* constant genes have been extensively characterized with similar but less detailed work reported for rats, sheep, cattle, dogs, cats, rabbits, hamsters, shrews, pigs, ducks, and several fish (Bosch et al. 1992; Meek et al. 1990; Parker et al. 1994; Vasquez et al. 1992; Hordvik et al. 1992; Ishiguro et al. 1989; McCumber and Capra 1978; Bernstein et al. 1982; Patri and Nau 1992; McGuire et al. 1985). Based on comparative analyses from these studies, mammalian variable gene segments appear to have been derived

The nucleotide sequence data reported in this paper have been submitted to GSDB and have been assigned the accession numbers L81155 (clone Ig1); L81156 (clone Ig51); L81157 (clone II4); L81158 (clone II6); L81159 (clone II8); L81160 (clone Ig19); L81161 (clone Ig20), and L49414 (mu chain-encoding constant region)

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**Fig. 1** Alignment of predicted amino acid sequence for seven cDNA clones encoding horse *Igh-V*, *Igh-D* and *Igh-J* genes. The ends of the variable gene segment and the diversity segment are estimates based on lineups with human and mouse germline *Ig* sequences. Sequence identity with clone I14 are shown as dashes (-). Gaps (\*) were inserted to maximize alignment. Nucleotide sequences of cDNA clones have been submitted to GSDB (accession numbers L81155-L81161)

I14	PGLQEPGTSSCKNMSHLW*FFLLLVAAPSCVLSQVQLKESGPGLVKPSE***TLSLTCTVSGDYI*SGYSYMLG.
I18	VDPPGCERN-ARE--N-----F-----R-----Q-----FSLS*-LCS-V*
I16	R----F-----T-----Q-----V-----LSLG-D-CS*-V*
Ig19	WCPL-NVS---P-----LN-----KSR-----CSLS*-CCSLV*
Ig1	MYAV-----Q-----D-----VA**RP-----PSLT*-AVG-V*
Ig20	-I-----GA-*-----I-----K**-HL-----EVSMGV*-CSLV*
Ig51	

I14	<b>Variable segment</b>	
I18	R*SPGTGPDWIGYISCCGE**CIN***YNPRDPLKSSLVIIS*KDA**SKSLKVYLNRRTALTKPVSLAVYGVRY	
I16	-HRSRNREVYL-EIC-TCS*B-E****-V**-***PSTIL-*T***-E*-ICTLNS*-NTEDT*-SSY-AS-	
Ig19	-Q---K---V-VDRIITRINTDGAIDQ-SSP---M-R-VS-T+Q-TQR---S---T***-VRGE***-VY-AGF	
Ig1	-QA---K-LB---EKYDSAS**T*---A---*****AS-T---T---T---TLNS*-SEDT*-Y-SQG	
Ig20	-QA---K-LEVY-DVRGS-S*AT*****DPA---R*AS-TB*-T***-Q---TLNS*-DEDT*-Y-AKG	
Ig51	-OT---K-LEYVSN-WVN-N***T*****Y---A---KK-KS-AKD-----QF---TLNS*-GEDT*-Y-S	
	KTS---R*AS-T---T***-PIL-TLNS---DEDA---Y-ASD	

	<b>Diversity Region</b>	<b>Joining Segment</b>
I14	*GDSYD**YL	GINYWGQGILTVSS
I18	T-PGIV**GG	E-D-----
I16	*WGY-GRG-G	YVDH-----T
Ig19	TY-VG+G***	G-MH-----
Ig1	S-TFAWGI-A	D-D-----
Ig20	*--VWELS*S	RVD---R-----P
Ig51	YVTIDST*YWF	-PDF-----Q-----

from three distinct progenitor genes (Tutter and Riblet 1989). Descendants from these genes are categorized into three clans based on nucleotide sequence similarity in FW1 and FW3 regions (Kirkham et al. 1992). Each clan contains one or several families (>80% nucleic acid sequence identity places two genes within the same *VH* family (Cook and Tomlinson 1995). In humans, at least 95 *Igh-V* segments comprising 7 families and 6 *Igh-J* gene segments have been identified (Chothia and Lesk 1987; Cook and Tomlinson 1995). In mice, 13 *Igh-V* families and 4 *Igh-J* segments are known (Meek et al. 1990). In horses, only the IgE heavy chain, including four *Igh-V* sequences, have been characterized (Navarro et al. 1995, and unpublished data GSDB accession number: U17041). In this study, we characterize the nucleotide sequences of 7 unique horse *Igh-V* genes, 5 *Igh-J* segments, and the *IgM* constant region, including the secretory peptide, and compare our findings with those from other species.

tific, Friendswood, TX) according to the manufacturer's instructions. Seven µg of total RNA was used for cDNA synthesis with MMLV reverse transcriptase (Gibco BRL, Eggenstein, Germany) and random hexanucleotides as described (Schrenzel et al. 1994). PCR reactions contained 5 mM MgCl<sub>2</sub>, 50 mM KCl, 10 mM Tris-HCl pH 8.0, 0.2 mM of each dNTP, 50 pmol of each primer, and 0.5 units *Tag* DNA polymerase (Promega, Madison, WI) in a final volume of 50 µl. Primers used for detection of horse *IgM* gene expression were degenerate and based on alignments of mu chain-encoding constant regions of other species. The sense primer was AAG CC(AC) G(AT)G GCC TGG (CT)TG TC and the anti-sense primer CTA G(AG)C TGC TGT GCT TCA CCC designed to generate a 290 bp PCR product. PCR conditions were 95 °C for 45 s, 54 °C for 1 min, and 72 °C for 1 min for 30 cycles. This PCR fragment was cloned into pGEM-T using the TA Cloning Kit from Promega (Madison, WI) according to the manufacturer's instructions. Primers used to amplify a broad spectrum of horse *Ig* genes were a degenerate oligonucleotide primer GGCC(GAC)(CT)(GAC)TATT(AT)CTGT designed to bind FW3 based on alignments of horse *Igh-V* genes and an anti-sense constant region primer GTTTGTTCTGGTAGTTCCAG. PCR conditions were 95 °C for 45 s, 54 °C for 1 min, 72 °C for 1 min for 26 cycles. cDNA from normal horse lymph node and from a horse B-cell lymphosarcoma along with plasmid-cloned horse *Ig* genes were used in the PCR.

## Materials and methods

### Animals

Samples for RNA and DNA extractions were provided by the Equine Research Laboratory and Veterinary Medical Teaching Hospital at the University of California, Davis. RNA for PCR detection of heavy chain rearrangement and clonality expression was obtained from normal mesenteric lymph node from a healthy adult Thoroughbred horse and an adult Quarterhorse with lymphosarcoma. DNA for Southern blot hybridizations was obtained from kidney.

### Polymerase chain reaction (PCR)

RNA was isolated from mesenteric lymph node from a healthy two-year-old Thoroughbred horse and from a lymphoid tumor from a six-year-old Quarterhorse using the RNaZol B method (TM CinnaSci-

### cDNA library construction and screening

Total RNA from mesenteric lymph nodes of five healthy unrelated horses (three Thoroughbreds, one Standardbred, one Quarterhorse) was isolated over cesium chloride gradient and used by Stratagene (La Jolla, CA) to construct a size-fractionated lambdaZapII cDNA library with oligo-dT primers. The cDNA library was screened using a horse-specific 290 bp *IgM* constant region probe (described above) labeled with [ $\alpha$ -P32]dCTP using a hexanucleotide random priming system (Boehringer Mannheim, Indianapolis, IN). Plaque hybridization was carried out for 18 h at 60 °C in 5× standard sodium citrate (SSC), 1× Denhardt's solution, 0.1% sodium dodecyl sulfate (SDS), and 100 µg/ml denatured herring sperm DNA. Filters were washed twice with 2× SSC and once with 1× SSC at 60 °C. Single clones were obtained by secondary and tertiary screenings under the same conditions. Inserts were subcloned into the Bluescript phagemid vector by in vivo excision with Ex Assist helper phage (Stratagene).

### Gene manipulation and DNA sequencing

Cloned PCR products and isolated cDNA library clones were sequenced by the chain termination method (Sanger et al. 1977) with Sequenase 2.0 (US Biochemicals, Cleveland, OH) and with a Prism automated sequencing machine (Perkin Elmer, Norwalk, CT) with the M40 forward primer, M13 reverse primer, and primers designed for regions of the horse IgM constant region gene.

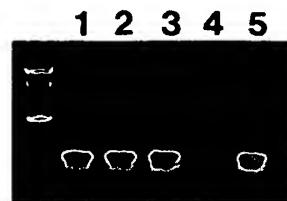
### Sequence analyses

Sequences were assembled and analyzed with the University of Wisconsin Genetics Group (GCG) computer program. A neighbor-joining tree showing a hypothesis of the evolution of the IgM constant region gene was produced by the Phylogenetic Analysis Using Parsimony (PAUP) program and the data were bootstrapped to determine levels of support (Saitou and Nei 1987; Swofford 1993).

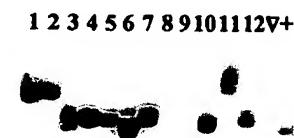
### Results

Fifteen clones, including seven unique *Igh-V* segments, were isolated from a horse mesenteric lymph node cDNA library using a 290 bp PCR fragment probe to the IgM constant region. Five of the seven variable segments were full-length, and all clones contained FW3 (Fig. 1). Nucleotide sequence analyses for horse *Igh-V* regions showed closest sequence identity with other published horse *Igh-V* segments followed by sheep, cattle, and human genes (data not shown). Comparison of the horse *Igh-V* segments with human sequences revealed closest overall nucleotide similarity with either family 1 of Clan I or family 4 of Clan II when using the GCG gap comparison (data not shown). Comparison of the seven horse *Igh-V* genes with each other similarly demonstrated the presence of two families. However, all horse *Igh-V* genes had relatively conserved FW3 regions. The horse FW3 regions were similar in size to those found in other species and allowed design of a single degenerate primer for recognition of all seven *Igh-V* genes. This primer was used in the polymerase chain reaction (PCR) with an anti-sense oligonucleotide primer to the constant region and amplified the seven cloned horse *Igh-V* genes as well as cDNA from a normal horse lymph node and a B-cell lymphosarcoma (Figs. 2, 3). FW1 regions from the five full-length horse *Igh-V* genes were also highly conserved.

Variability analysis of horse *Igh-V* genes demonstrated the presence of three CDR (Figs. 1, 4). CDR1 (residues 26–35) had a constant length of 10 amino acids except for two sequences (I14 and I16) which had additional insertions of two residues. Among the horse *Igh-V* genes, 4 of 6 had unique CDR1 sequences when compared with data compiled from 77 human *IGH-V* gene sequences, but all had an invariant valine residue at the adjoining residue 24 position and 5 of 6 had a glycine at position 26. Horse CDR2 (residues 50–65) had considerable variability but did contain a relatively conserved asparagine residue at position 61. Horse CDR3 (residues 96–101) varied significantly in residue content and length with sequences containing from



**Fig. 2** PCR products obtained from amplification with sense degenerate oligonucleotide primer for conserved Ig FW3 region and anti-sense primer to IgM constant region, stained with ethidium bromide, and run on 2% agarose gel; 100 bp ladder with double bright band representing 600 bp on left; Lanes 1–3 selected plasmids containing horse Ig genes; Lane 4 cDNA from normal horse lymph node; Lane 5 horse B-cell lymphosarcoma. Note discrete bands for plasmid clones and monoclonal B-cell lymphosarcoma but different size PCR products from lymph node resulting in broad smear



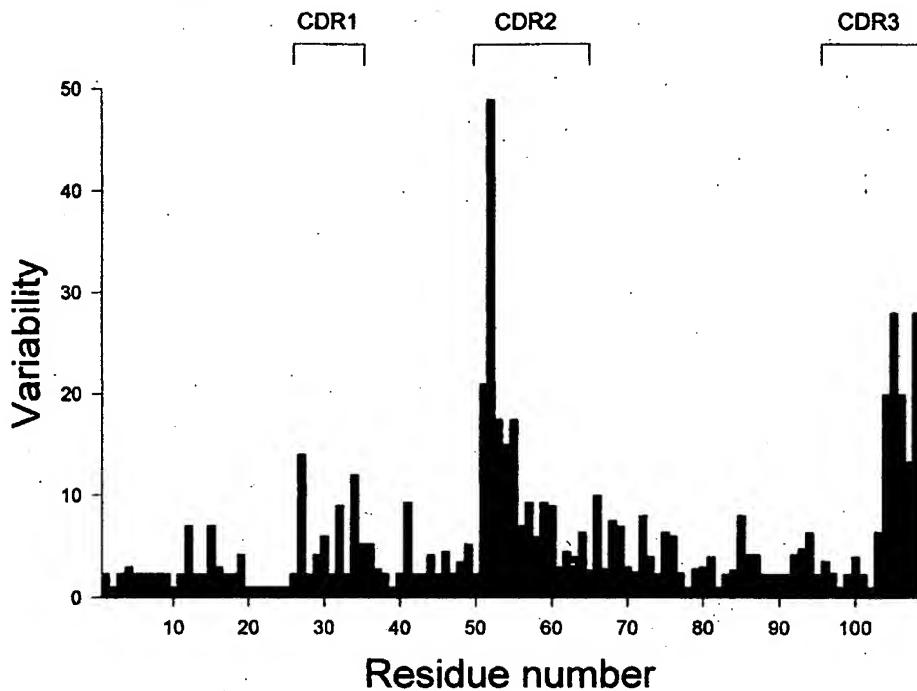
**Fig. 3** PCR products obtained from amplification with sense degenerate oligonucleotide primer for conserved Ig FW3 region and anti-sense primer to IgM constant region, labeled with P32-dCTP, and run on 6% polyacrylamide gel; Lanes 1–7 and 10–12 horse IgM samples cloned into Bluescript plasmid; Lanes 8 and 9 horse *Tcr* beta chain-encoding and *Tcr* delta chain-encoding genes cloned into Bluescript plasmid; Lane inverted triangle (V) cDNA from normal horse lymph node; Lane plus (+) cDNA from horse B-cell lymphosarcoma. Note lack of band formation for *Tcr* genes and polyclonal lymph node cDNA but specific recognition of all plasmids containing horse Ig genes and monoclonal B-cell lymphosarcoma

6–10 CDR3 amino acids. However, 5 of 6 sequences contained a leucine or phenylalanine near residue 100.

Horse *Igh-D* regions were estimated based on comparisons with germline *Igh-V* and *Igh-J* sequences from other species and contained from 6–10 amino acids with an average of 8.1. Among the CDR, the regions formed within the *Igh-V*, *Igh-D*, and *Igh-J* junctional region had the highest level of variability. However, 6 of 7 horse *Igh-D* regions contained at least one glycine residue within the *Igh-D* junctional region and 5 of 7 contained a tyrosine residue (Fig. 1).

Seven different horse *Igh-J* genes were identified. However, three of these genes differed by only 1 or 2 bp and, thus, may represent alleles of the same gene or reflect differences in N region modification at the 5' terminus of the same gene segment. Thus, our analyses appear to have identified five unique *Igh-J* segments (Fig. 1). The five separate horse *Igh-J* genes had from 82.5% to 94.0% nucleotide identity with each other and an average of 90.3% identity. Predicted amino acid sequences for the 7 horse *Igh-J* sequences demonstrated highly conserved areas, particularly at the 3' terminus, although the 5' terminus in five of seven horse *Igh-J* genes contained a tyrosine residue.

**Fig. 4** Variability analysis of seven translated *Igh-V* gene segments. Variability was calculated as the number of different amino acids occurring at a given position divided by the frequency of the most common amino acid at that position (Kabat 1987). Approximations of the complementarity determining regions (CDR) are shown above and were made based on comparison with human sequences (Chothia and Lesk 1987; Chothia et al. 1992)



Among the 15 horse genes sequenced, all had the same *IgM* constant region consisting of a 1472 bp segment encoding for 451 amino acids with six potential *N*-glycosylation sites (Fig. 5). Also present was a 58 bp segment coding for 19 amino acids that represented the *IgM* secretory peptide. Southern blot hybridization of the horse *IgM* constant gene to genomic DNA revealed the presence of a single band for *Bam* HI and *Eco* RI restriction enzyme digests (data not shown). A lineup comparison with ten mammalian species, including horse, and two non-mammalian species (duck and salmon) demonstrated the presence of 53 invariant residues and 159 highly conserved (present in at least 9 of 12 species) amino acids throughout the length of the *IgM* constant region (Fig. 6). Nucleotide and amino acid sequence comparisons of the horse *IgM* constant region with other species showed closest similarity to human and least similarity to duck and salmon. Among the four mu chain-encoding exons, CH3 and CH4 were the most highly conserved between species. A phylogenetic tree calculated using neighbor-joining analysis is shown in Figure 6. In addition, parsimony analysis revealed similar phylogenetic groupings represented by high bootstrap values in the neighbor-joining tree and lack of clear resolution between other groups (data not shown).

#### DISCUSSION

*Ig* architecture accommodates an abundance of structural diversity allowing binding of numerous antigens within the confines of a conserved framework. Conservatism among

*Ig* molecules with different antigen affinities was explained by discoveries of somatic recombination and junctional diversification for most mammals and intergenic conversion in birds and rabbits. These findings were based on landmark analyses of *Ig* nucleotide sequences in mice, humans, chickens, and rabbits (Honjo 1983; Tonegawa 1983; Thompson 1992). Subsequently, *Ig* gene sequences have been reported for at least 18 species, including fish and amphibians. In this report, we characterize seven horse *IgM* cDNA clones and compare the predicted amino acid structures of variable, diversity, joining, and constant region segments with those from other species to better understand the comparative biology of *Ig*.

All seven of our horse *Igh-V* sequences had highest nucleotide identity with one of three previously characterized horse *Igh-V* sequences, and four had greater than 80% identity. These findings indicate that certain *Igh-V* families may be highly represented in horses. In humans, VH3 *Ig* gene segments from Clan III are most highly represented in both the germline and peripheral repertoire and are considered to be essential and conserved components of the *Ig* loci in a variety of mammalian and non-mammalian species (Cook and Tomlinson 1995; Tutter and Riblet 1989). The Clan III *Igh-V* genes are also preferentially expressed during fetal life and may function in recognition of primitive, conserved antigens (Kirkham et al. 1992). When compared with other species, horse *Igh-V* genes had highest nucleotide similarity to sheep, cattle, and human genes and least similarity to mouse and rat sequences. These findings were not unexpected, since horses have shared habitats with other herbivores, and thus have been exposed to similar selection pressures that shape development of the peripheral immunoglobulin repertoire. Additionally, artiodactyls

10	30	50	70	90	110
GAGAGTACGAAGACCCCAGATCTTCCCCCTCGTCCTGTGGGCCCCTCTTGATGAGAGCCTGGGCTGTGGCTGCCTAGCCGGGACTTCTAACCCAAACGTCACTAACCTTC	E S T K T P D L F P L V S C G P S L D E S L V A V G C L A R D F L P N V I T F S				
130	150	170	190	210	230
TGTTGAACTACCCAGAACAAACTGTAGTCAGTATCAGGACATCATGAAATTCCCGTCGGCTCTGAGAGAGGGCAAGTACTCGGCCAGCTCCAGGTGCTCTGCCCTCGGGGACGTC	L E L P E Q H C S Q Y P G H H E F R S V L R E G K Y S A S S Q V L L P S G D V P				
250	270	290	310	330	350
CTGGTATGCACTGCAACCACTCCAACCGAACAGAAAAGTGGAAAGTGAGGGCCCAAGGTAATCTCCAGGAGATCTGTGCTCTGGCTGCGTATGAAACCCCGTGGAGTCAGGCT	L V C T V N H S N G N E K V E V R P Q V L I Q D E S P N V T V F I P P R D A F T				
370	390	410	430	450	470
GGCCCTGGCCAGGCCACATCCAGGCTCGTCGCCAGGGCACGGGCTCAAGGGAGATCTGTGCTCTGGCTGCGTATGAAACCCCGTGGAGTCAGGCTCACTACAGGAAAGAG	G P G Q R T S R L V C Q A T G F S P K E I S V S W L R D G K P V E S G F T T E E				
490	510	530	550	570	590
GTGCAGGCCAGAACAAAGAGTCTGGCCGGTGCCTACAAAGTACCCAGCATGCTGACCATCACCGAGACCGACTGGCTCAACCGAAGGTGTTACTTGCATGTGGAAACACAGGC	V Q P Q N K E S W P V T Y K V T S M L T I T E S D W L N Q K V F T C H V E H T G				
610	630	650	670	690	710
GGGTCTCCAGAACAGTGTCGCCATGTCAGGCCATTACCCAGTCCCGCATCCAAATCTTCGCATCCCTCCCTCCCTGCTGCGATCTTCTCACCAAGTCGGCCAGCTGTCC	G S E Q K N V S M C S P I H Q S P I Q I F A I P P S F A G I F L T K S A K L S				
730	750	770	790	810	830
TGCCAAGTCACAAACCTGGCACCTATGACAGCCTGAGTATCTCTGGACCCCGAGAATGGTAGAGATCTGAAACCCACACCAACATCTGAGAGCCACCCCAACGGCACCTTCAGC	C Q V T N L G T Y D S L S I S W T R Q N G E I L K T H T N I S E S H P N G T F S				
850	870	890	910	930	950
GCCTCTGGCGAGGCCACCCATCTGGTGGAAAGACTGGGAGTCGGGAGCAGGACTACATATGACCGGTGACCCACAGACCTGGCCCTCCCGCTGAAGCAGGTATCTCCAGGCCAGC	A L G E A T I C V E D H E S G D D Y I C T V T H T D L P F P L K Q V I S R P D A				
970	990	1010	1030	1050	1070
GTTGGCAAGGACCCGCCCTCCGTCAGTGCTGCCACACCGGGAGCTGAGTCGGGGAGTCGGCCATCACCTGTCTGGTAGAGGGGTTCTCACCCCCCGGACGTGTC	V G K H P P S V Y V L P P H R E Q L S L R E S A S I T C L V K G F L T P P D V S				
1090	1110	1130	1150	1170	1190
GTGCAAGTGGCTCAAGAGGGCAACCCCTGCCCCGACAAGTATGTGACCAGGCCCGATGCTGACCCAGCCCCCAGGCTTGTACTTCGTCACAGCATCTGACCGTGAGCGAG	V Q W L Q E G Q P L S P D K Y V T S A P M L D P S P Q A L Y F V H S I L T V S E				
1210	1230	1250	1270	1290	1310
GAGGAGCTGGAGCTCTGGGAGACATACACCTGGCTCGTGGCCATGAGGCCCTGCCACGGTGGTAGCCAGAGGGACCGTGAGCAGTCCACTGTAAACCCACCCGTACACAGTGTC	E D W S S S G E T Y T C V V G H E A L P H V V T E R T V D K S H C K P T L Y N V S				
1330	1350	1370	1390	1410	1430
CTGGTATGTCGACATGGCCAGACCTGCTACTGACCTGGTCCACCCCTGCGCAGGGTACCTGGACTCTGGGGACCCATCGCTCTGTGTGCATGCAGACTAACCAAGTC	L V M S D M A S T C Y *				
1450	1470				
ATGGGGTAGGGAGTAGCATTTATCGAGCC					

[ CHO ]

and persidactyls probably shared a more recent common ancestor than carnivores, rodents, and primates (Novacek 1992). The high similarity of horse *Igh-V* genes to sheep and cattle genes is similar to findings for horse *Tcrd-V* which were most closely related to sheep sequences (Schrenzel and Ferrick 1995). The similarity of some horse *Igh-V* with human genes may have resulted from common exposure to certain pathogens or other exogenous antigens but more likely represents an artifact stemming from the more numerous human *Igh-V* sequences in the genebank.

Variability plot analyses of horse *Igh-V* predicted amino acid sequences demonstrated the presence of CDR and FW similar to those of other species (Cook and Tomlinson 1995; Chothia et al. 1992; Chothia and Lesk 1987). A significant finding was the presence of an 18 bp conserved region within FW3 recognized with high specificity by a single degenerate oligonucleotide primer in all horse *Igh-V* clones, a normal lymph node, and a B-cell lymphosarcoma. These results are similar to those for human *IGH-V* for which a single universal variable gene segment primer has been identified. This primer is believed to recognize approximately 80% of all human *IGH-V* genes, including those in different Clans, and has proven to be a valuable

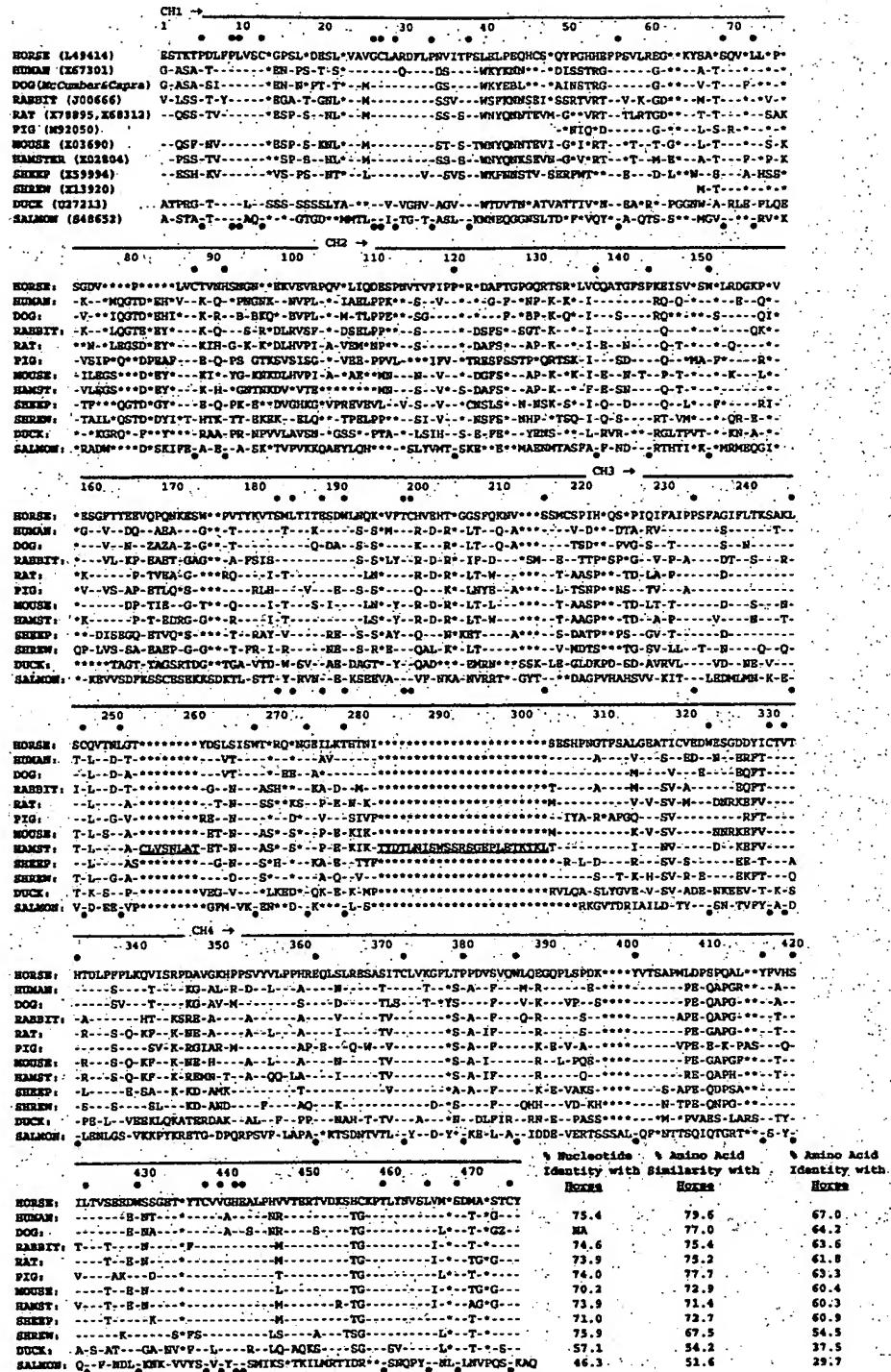
Fig. 5 Complete nucleotide sequence horse *IgM* constant region gene. Predicted amino acid sequence for the translated protein and potential *N*-glycosylation sites are shown

diagnostic reagent for assessing B-cell clonality in lymphoid lesions (Ben-Ezra 1992; Wan et al. 1990). Like the human and mouse, horse *Igh-V* sequences also had highly conserved residues within CDR1 and CDR2 (Chothia et al. 1992; Chothia and Lesk 1987; Cook and Tomlinson 1995). These conserved residues were a valine at position 24 and a glycine at position 26 for CDR1 and an asparagine residue at position 61 for CDR2. These amino acids are believed to be important in maintaining the three-dimensional packing structure associated with formation of antigen-binding sites within the Ig molecules (Chothia and Lesk 1987). However, when horse *Igh-V* CDR1 sequences are compared with the human CDR1 canonical sequences following positions 24 and 26, they differ significantly. The human CDR1 canonical sequences are believed to be primarily responsible for the reliable duplication of main-chain structures present in antigen-binding sites by influencing packing, hydrogen bonding, or the ability to assume unusual  $\phi$ ,  $\psi$ , or  $\omega$  conformations (Chothia and Lesk 1987). The finding of unique CDR1 sequences in horse *Igh-V* suggest that a

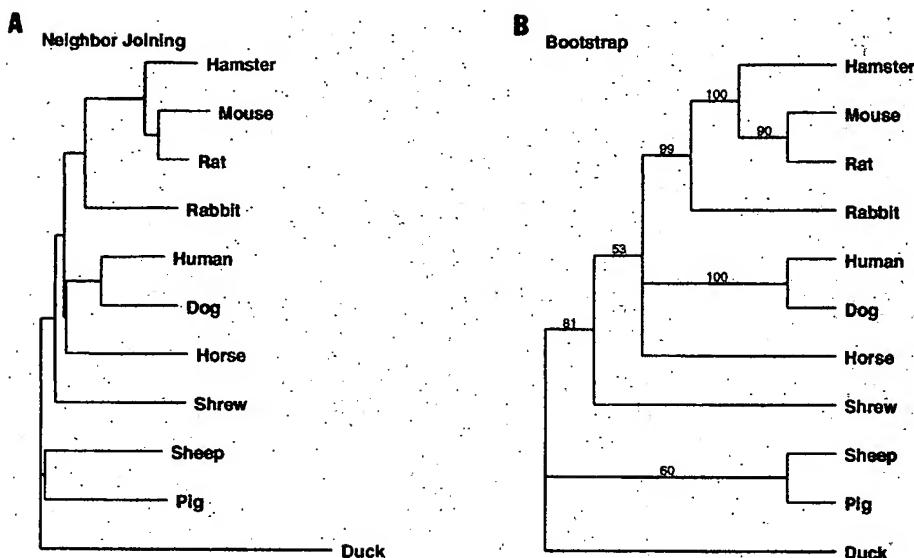
**Fig. 6** Alignment of horse IgM constant region predicted protein sequence with other species. Dashes (-) represent amino acid residues conserved with the horse sequence. Black circles indicate invariant residues across all species shown. Gaps (\*) were inserted to maximize alignment. A repetitive peptide motif identified in the hamster gene is *underlined*. Percentage identities and similarities of horse IgM constant region with other species is shown at the bottom right. References with accession numbers are: human (X67301, Harindranath et al. 1993); dog (McCumber and Capra 1978); rabbit (J00666, Bernstein et al. 1982); rat (X78895, Parker et al. 1994); pig (M92050, Bosch et al. 1992); hamster and mouse (X02804 and X03690, McGuire et al. 1985); sheep (X59994, Patri and Nau 1992); shrew (X13920, Ishiguro et al. 1989); duck (U27213, unpublished), and salmon (S48652, Horkvirk et al. 1992).

different structural motif may contribute to formation of the antigen-binding area. Like the human and mouse CDR3, the horse CDR3 contained a phenylalanine or leucine in most sequences. Additionally, a glycine was present in six of seven horse *Igh-D* regions, indicating the importance of this residue in the structural integrity of *Ig* across species.

Based on sequence comparisons, at least five distinct horse *Igh-J* gene segments appear to exist. This is not unexpected, since humans have six joining gene segments and mice contain four. The presence of additional horse *Igh-J* segments, however, cannot be excluded without more extensive gene analyses. The presence of significant vari-



**Fig. 7** A Neighbor-joining phylogram representing genetic distance of published IgM constant region genes. The tree was drawn using sequenced or predicted amino acid residues such that lengths of the horizontal branches are proportional to the number of amino acid substitutions that occurred on those branches. For references see Figure 6. B The bootstrap tree represents 1000 cycles of random character sampling with replacement (Felsenstein 1985). The bootstrap values indicate the level of support for the numbered branches



ability in the 5' region of the horse *Igh-J* genes indicated that, like mice and humans, junctional diversification occurs through modification of the joining segment as well as the diversity and variable genes. It is also likely that further modification of the 5' horse *Igh-J* genes occurs due to somatic hypermutation as in other species (Chothia and Lesk 1987). Despite diversification of the 5' joining segment and its functional role as a constituent of the hypervariable region, 5/7 horse *Igh-J* genes sequenced contained a tyrosine residue in this region. This is similar to findings for humans and mice which have a 5' tyrosine in 4/6 and 4/4 joining segments, respectively (Chothia and Lesk 1987). The significance of these observations is not certain, but it has been shown that tyrosine residues have a high normalized frequency of occurrence in  $\beta$ -sheets (Creighton 1984). Phenylalanine, another aromatic amino acid, likewise occurs frequently in  $\beta$ -sheets and is present in 5/6 human *IGH-J* and 3/4 mouse *Igh-J* in the 5' region (Creighton 1984; Chothia and Lesk 1987). Thus, it appears that the presence of an aromatic amino acid in the 5' joining segment is important to the integrity of the Ig structural domain in this area, perhaps by contributing to  $\beta$ -sheet formation.

A single horse IgM constant region was present and contained highly conserved cysteine and tryptophan residues as seen in other species. Additionally, comparison of horse IgM sequence with nine other mammals and two non-mammalian species revealed the presence of 53 invariant residues and 159 highly conserved amino acids. The high level of structural similarity within the constant region may be related to the constraints placed on the constant region for assembly of light chains and a duplicate heavy chain to form a functional protein. Conversely, the conservation of amino acids, especially within exon 4, may be a reflection of the primary role of IgM in activation of the complement system which is highly conserved even between divergent species, the role of IgM as an Fc-bound surface receptor, or the requirement for polymerization of IgM molecules

(McCumber and Capra 1978). Among the four exons comprising the constant region, exon 4 by far contains the most invariant and conserved residues. All twelve species analyzed also contained a potential N-glycosylation site and a cysteine residue within the secretory peptide. These regions have been suggested to be critical to the formation of IgM multimers and, in accordance with previous reports, indicate that multimers of secreted IgM likely occur in birds and fish as in mammals. The horse, like other mammals, lacks other potential N-glycosylation sites within exon 4. In contrast, many lower vertebrates contain one or several N-glycosylation sites in the last coding exon. The significance of this potential for structural diversity is unclear but may be related to the role of IgM in complement activation or possibly multimer formation. An additional feature that was revealed after alignment of all species was the presence of a repetitive 15 amino acid motif within exon 3 in the hamster gene. The presence of this repetitive sequence is similar to findings for the sheep and cattle *Tcr-C* genes which contain duplicated peptide motifs within the connecting peptide (hinge region; Hein et al. 1990; Takeuchi et al. 1992). The functional significance of these repetitive sequences has never been determined. Unlike most other Ig isotypes and T-cell receptor proteins, IgM does not contain a hinge region (Kuby 1994).

Comparison of the horse constant region amino acid sequence with other species using a phylogenetic analysis indicated that the horse IgM chain has closest evolutionary distance to humans and dogs. This result was somewhat unexpected, particularly since the horse *Igh-V* genes were most closely related to the artiodactyls (sheep and cattle). Interestingly, the horse *Tcrb-C* and *Tcrd-C* also have highest similarity to human and dog sequences (Schrenzel et al. 1994; Schrenzel and Ferrick 1995). The significance of these findings is unclear. Perhaps, structural conservation of the T-cell receptor and Ig constant regions among horse, human, and dog indicates a relatively close evolutionary relationship between the immune systems of these species

on a molecular level. Analysis of other immune cell proteins, such as Thy-1 production by T-cells, also demonstrates striking similarities between horses and humans (M.D. Schrenzel, unpublished observation). Furthermore, a recent report comparing protamine sequences from a variety of mammals by a cladistic method similarly grouped horses more closely with humans than with artiodactyls (unfortunately, members of the Carnivora, such as dogs, were not included in the analyses; Ishiguro et al. 1989). This finding differs from most common interpretations of eutherian phylogeny but parallels our results for IgM. However, additional analyses of horse immune cell genes and proteins are needed to reach substantial conclusions about the relationship between the immune systems of horses, dogs, and humans.

In summary, we characterized seven horse *Igh-V* genes and identified a single *IgM* constant segment. Structurally, the horse genes had many similarities to *Ig* genes of other species but also differed in some ways including the presence of unreported sequences within canonical areas of the CDR. Most significantly, however, the horse *Igh-V* sequences contained a fairly conserved region within FW3 that allowed PCR amplification of different families with a single degenerate oligonucleotide. This data along with sequence information for the joining and constant region segments should provide the basis for in depth analyses of equine immune-related diseases.

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GenBank: AY158087.1

# Bos taurus immunoglobulin heavy chain (IGH) gene, partial sequence

- Features
- Sequence

**LOCUS** AY158087 8259 bp DNA linear MAM 10-SEP-  
 2003

**DEFINITION** Bos taurus immunoglobulin heavy chain (IGH) gene, partial sequence.

**ACCESSION** AY158087

**VERSION** AY158087.1 GI:34558505

**KEYWORDS**

**SOURCE** Bos taurus (cattle)

**ORGANISM** Bos taurus  
 Eukaryota; Metazoa; Chordata; Craniata; Vertebrata;  
 Euteleostomi;  
 Mammalia; Eutheria; Laurasiatheria; Cetartiodactyla;  
 Ruminantia;  
 Pecora; Bovidae; Bovinae; Bos.

**REFERENCE** 1 (bases 1 to 8259)

**AUTHORS** Zhao,Y., Kacskovics,I., Pan,Q., Liberles,D.A., Geli,J., Davis,S.K.,

**TITLE** Artiodactyl IgD: the missing link

**JOURNAL** J. Immunol. 169 (8), 4408-4416 (2002)

**PUBMED** 12370374

**REFERENCE** 2 (bases 1 to 8259)

**AUTHORS** Zhao,Y., Kacskovics,I., Rabbani,H. and Hammarstrom,L.

**TITLE** Physical mapping of the bovine immunoglobulin heavy chain constant region gene locus

**JOURNAL** J. Biol. Chem. 278 (37), 35024-35032 (2003)

**PUBMED** 12829708

**REFERENCE** 3 (bases 1 to 8259)

**AUTHORS** Zhao,Y. and Hammarstrom,L.

**TITLE** Direct Submission

**JOURNAL** Submitted (03-OCT-2002) Center for Biotechnology at Novum, Karolinska Institute, Huddinge, Stockholm S-141 57, Sweden

**FEATURES** Location/Qualifiers

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### Annex III

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<u>misc signal</u>	390..440 /gene="IGH" /note="JH-PS2"
<u>misc signal</u>	737..784 /gene="IGH" /note="JH-PS3"
<u>J segment</u>	1018..1062 /gene="IGH" /note="JH1"
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121	ttcaggaaga cagacttgc gtccttggg gtccttggg gaccctgggt gtttccgtg
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361	gaaaagaagc aacagaatgg aagccatgct gctgggacat ggatctctgg gcccagcga
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### Annex III

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### Annex III

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GenBank: M13800.1

## Rat Ig germline mu H-chain C-region gene, exon 2

- Comment
- Features
- Sequence

LOCUS            RATIGCF3                                  659 bp        DNA        linear      ROD 27-APR-  
 1993  
 DEFINITION     Rat Ig germline mu H-chain C-region gene, exon 2.  
 ACCESSION      M13800  
 VERSION        M13800.1 GI:204709  
 KEYWORDS       C-region; germline; immunoglobulin heavy chain; immunoglobulin  
 mu-chain.  
 SEGMENT        3 of 3  
 SOURCE         Rattus norvegicus (Norway rat)  
 ORGANISM      Rattus norvegicus  
 Eukaryota; Metazoa; Chordata; Craniata; Vertebrata;  
 Euteleostomi;  
 Mammalia; Eutheria; Euarchontoglires; Glires; Rodentia;  
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 REFERENCE     1 (bases 1 to 659)  
 AUTHORS       Brueggemann,M., Free,J., Diamond,A., Howard,J., Cobbold,S. and  
 Waldmann,H.  
 TITLE          Immunoglobulin heavy chain locus of the rat: striking homology  
 to  
 mouse antibody genes  
 JOURNAL       Proc. Natl. Acad. Sci. U.S.A. 83 (16), 6075-6079 (1986)  
 PUBMED        3016742  
 COMMENT       Original source text: Rat (PVG) liver DNA, clones 22-3-1, 5-2-  
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 Computer-readable copy of the sequence in [1] was kindly  
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Annex IV

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/db_xref="GI:554448"

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ORIGIN      275 bp upstream of BamHI site; about 7 kb after segment 2.
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GenBank: X02804.1

Annex V

# Syrian hamster Cmu-gene for immunoglobulin heavy chain

- Comment
- Features
- Sequence

LOCUS X02804 2641 bp DNA linear ROD 14-NOV-2006  
 DEFINITION Syrian hamster Cmu-gene for immunoglobulin heavy chain.  
 ACCESSION X02804 M23867  
 VERSION X02804.1 GI:49635  
 KEYWORDS constant region; Ig heavy chain; immunoglobulin.  
 SOURCE *Mesocricetus auratus* (golden hamster)  
 ORGANISM *Mesocricetus auratus*  
     Eukaryota; Metazoa; Chordata; Craniata; Vertebrata;  
     Euteleostomi;  
     Mammalia; Eutheria; Euarchontoglires; Glires; Rodentia;  
     Sciurognathi; Muroidea; Cricetidae; Cricetinae; Mesocricetus.  
 REFERENCE 1 (bases 1 to 2641)  
 AUTHORS McGuire, K.L., Duncan, W.R. and Tucker, P.W.  
 TITLE Phylogenetic conservation of immunoglobulin heavy chains:  
 direct comparison of hamster and mouse Cmu genes  
 JOURNAL Nucleic Acids Res. 13 (15), 5611-5628 (1985)  
 PUBMED 2994005  
 COMMENT On Feb 24, 2005 this sequence version replaced gi:191395.  
     Data kindly reviewed (19-FEB-1986) by P.W. Tucker.  
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## Annex V

## Annex V

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